

Mieszanie i łamanie symetrii CP w rozpadach cząstek powabnych w eksperymencie LHCb

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Charm mixing and CP violation at LHCb

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also presented on Beauty 2013, 10 April 2013, Bologna



- **Introduction:**

- ✧ mixing D^0 -anti- D^0 and CPV
 - ✓ SM predictions
 - ✓ current constraints for mixing and CPV in charm physics
 - ✓ why are we interested in charm physics?

- **Measurements of mixing and CPV in charm sector at LHCb**

- ✧ the LHCb detector
- ✧ observation of D^0 – anti- D^0 mixing
- ✧ ΔA_{CP} in $D^0 \rightarrow K^+K^-$ and $D^0 \rightarrow \pi^+\pi^-$
 - pion-tagged analysis $D^{*\pm} \rightarrow D^0\pi^+_s$
 - muon-tagged analysis $B \rightarrow D^0\mu X$
- ✧ search for direct CPV in:
 - $D^+ \rightarrow \phi\pi^+$ and $D^+_s \rightarrow K^0_s\pi^+$
 - $D^+ \rightarrow K^-K^+\pi^+$ and $D^0 \rightarrow \pi^-\pi^+\pi^-\pi^+$

- **Summary**

Introduction

Neutral mesons can oscillate between matter and anti-matter: mass eigenstates are different from flavour eigenstates

$$i \frac{d}{dt} \begin{pmatrix} |D^0\rangle \\ |\bar{D}^0\rangle \end{pmatrix} = \left[\begin{pmatrix} M_{11} & M_{12} \\ M_{12}^* & M_{22} \end{pmatrix} - \frac{i}{2} \begin{pmatrix} \Gamma_{11} & \Gamma_{12} \\ \Gamma_{12}^* & \Gamma_{22} \end{pmatrix} \right] \begin{pmatrix} |D^0\rangle \\ |\bar{D}^0\rangle \end{pmatrix}$$

$$|D_{1,2}\rangle = p|D^0\rangle \pm q|\bar{D}^0\rangle$$

theory

$$m \equiv (m_1 + m_2)/2$$

$$\Gamma \equiv (\Gamma_1 + \Gamma_2)/2$$

Two parameters describe mixing:

mass difference x :

$$x \equiv \frac{m_2 - m_1}{\Gamma} = \frac{\Delta m}{\Gamma}$$

decay width difference y :

$$y \equiv \frac{\Gamma_2 - \Gamma_1}{2\Gamma} = \frac{\Delta\Gamma}{2\Gamma}$$

experiment

theory

$$\Delta m = M_H - M_L = 2|M_{12}| \left(1 + \frac{1}{8} \frac{|\Gamma_{12}|^2}{|M_{12}|^2} \sin^2 \phi + \dots \right)$$

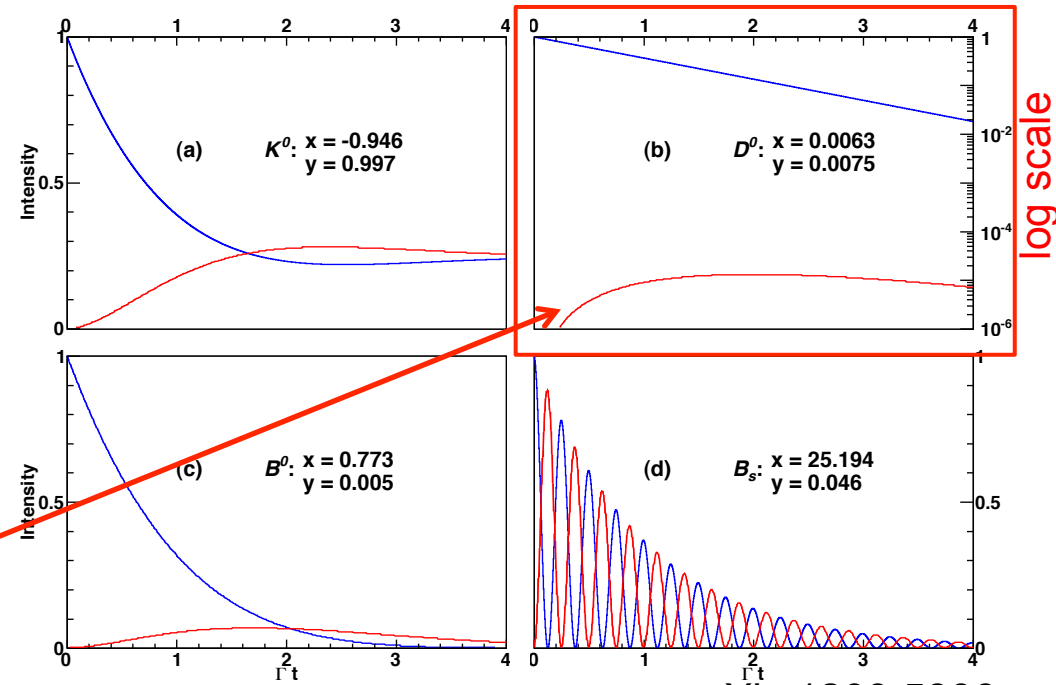
$$\Delta\Gamma = \Gamma_H - \Gamma_L = 2|\Gamma_{12}| \cos\phi \left(1 - \frac{1}{8} \frac{|\Gamma_{12}|^2}{|M_{12}|^2} \sin^2 \phi + \dots \right)$$

weak phase: $\phi \equiv \arg(-M_{12}/\Gamma_{12})$

$\Delta m, \Delta\Gamma$ – measured experimentally

For charm: $x = 0.0063$; $y = 0.0075$

- **Mixing is very slow**
- **Very precise measurements needed**



arXiv:1209.5806

Three ways of CP violation

1. **in mixing**: different transition of oscillation (indirect)

$$D^0 \longrightarrow \text{anti-}D^0 \neq \text{anti-}D^0 \longrightarrow D^0$$

2. **in decay amplitudes**: decays of particles and antiparticles are not the same (direct)

$$D^0 \longrightarrow f \neq \text{anti-}D^0 \longrightarrow \text{anti-}f = f$$

3. **interference**: between CP violation in **mixing** and in **decays** (indirect)

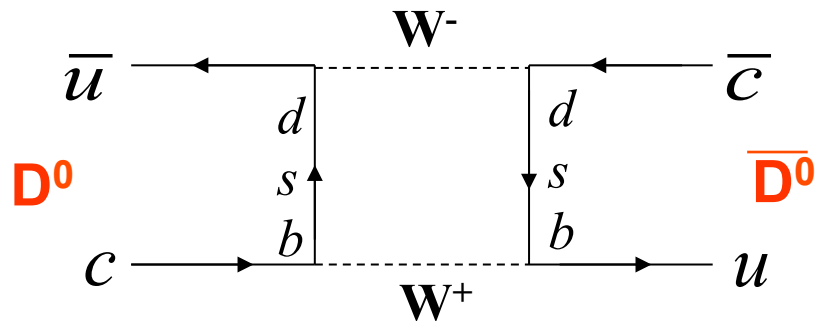
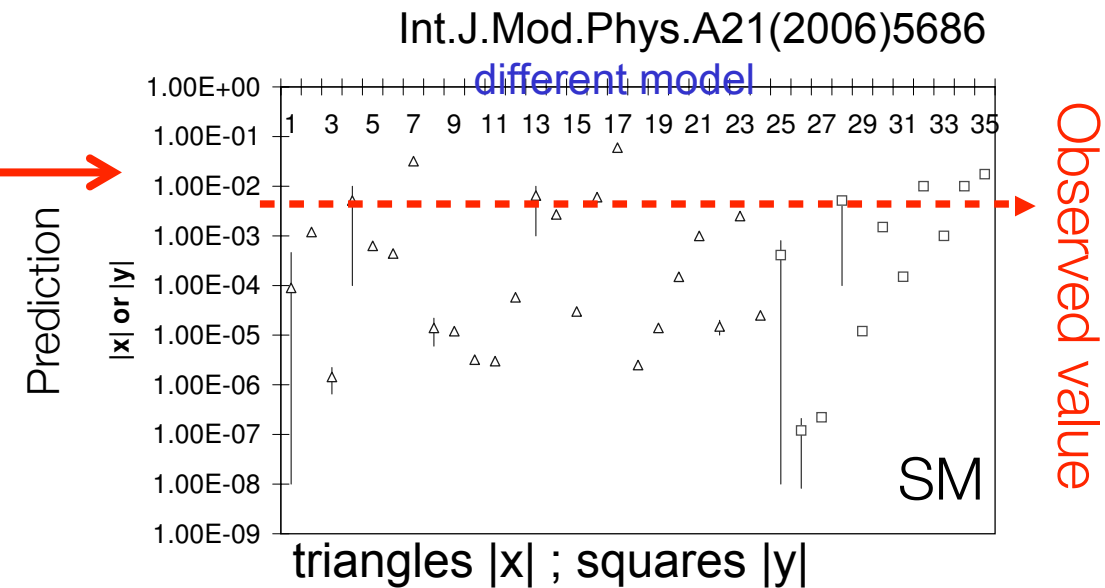


- Mixing and decay processes can be mediated via loop diagrams.
- NP is most likely to enter in loops and new particles can be exchanged

Mixing and CP violation

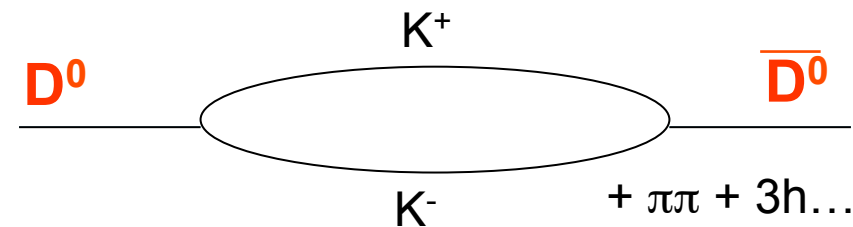
- In SM:
 - the charm mixing rate is expected to be small: $|x|, |y| \lesssim 10^{-2}$
 - expected CPV in charm sector is small $\lesssim 10^{-3}$ (much smaller than in the beauty sector) and difficult in calculation
 - SM predictions vary widely
 - New Physics contributions can enhance CPV up to 10^{-2}

Int.J.Mod.Phys.A21(2006)5381 ;
Ann.Rev.Nucl.Part.Sci.58(2008)249



Mixing via box-diagram, short range

$$x \sim 1\% \quad y \sim 1\%$$



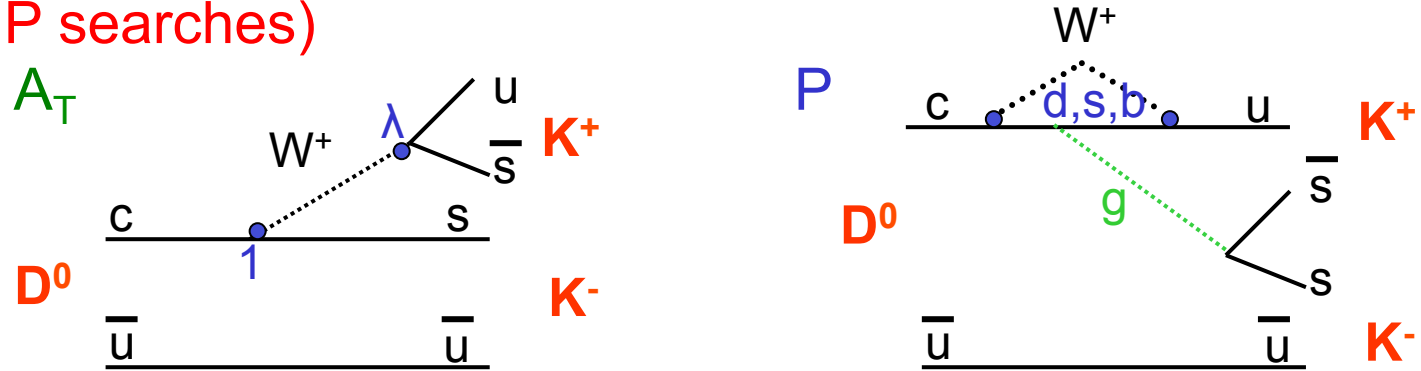
Mixing via hadronic intermediate states, long range (difficult to calculate)

From measurements we know that $x \sim y$

Direct decays and CP violation

If **tree** and **penguin** processes **interfere with different phases** then symmetry between particles and antiparticles is broken $\rightarrow A \neq \text{anti-}A$
 (Singly Cabibbo Suppressed decay = signal of CP \leftarrow penguin diagram opens possibilities for NP searches)

$$\lambda = 0.22$$



$$A = V_{us} V_{cs}^* A_T + V_{ud} V_{cd}^* P_d + V_{us} V_{cs}^* P_s + V_{ub} V_{cb}^* P_b$$

$\sim \lambda$ $\sim \lambda$ $\sim \lambda$ $\sim \lambda^6$

$$A_{\text{symCP}} \sim |A_1| |A_2| \sin(\phi_1 - \phi_2) \sin(\delta_1 - \delta_2)$$

$= A_T = P$ weak phases strong phases

- In SM CP violation in decays could be larger than in mixing (expected $\sim 10^{-3}$) and depends on final state
- \rightarrow CP asymmetry should be searched elsewhere where is possible, for example: $D \rightarrow hh$, $D \rightarrow hhh$, $D \rightarrow hhhh$

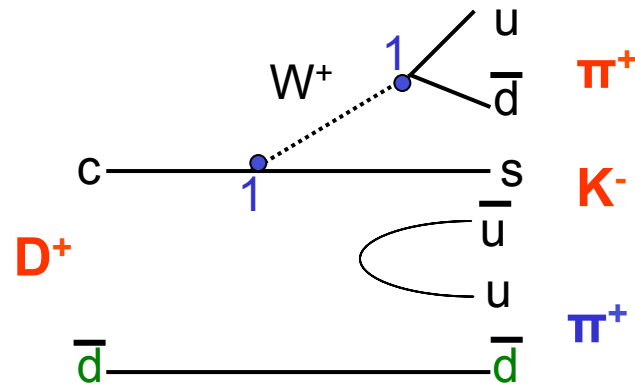
Decays without CP violation

Control decays where CP violation is negligible (no penguin contribution):

- Cabibbo favoured (CF)
- doubly Cabibbo suppressed (DCS)

$$D^+ \rightarrow K^- \pi^+ \pi^+$$

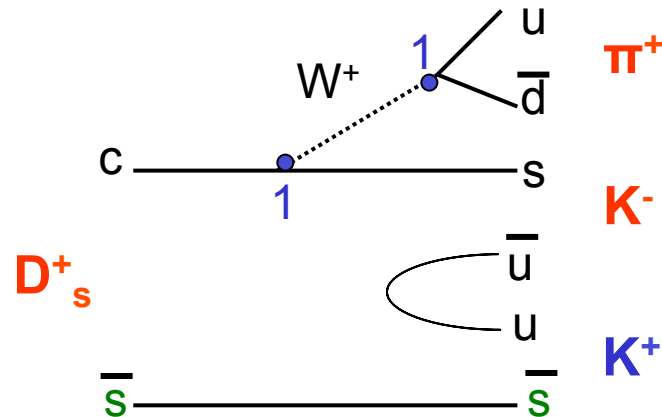
CF



D^+

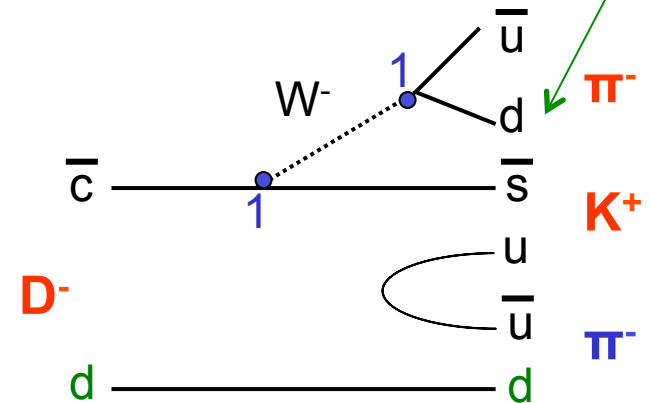
$$D_s^+ \rightarrow K^- K^+ \pi^+$$

CF

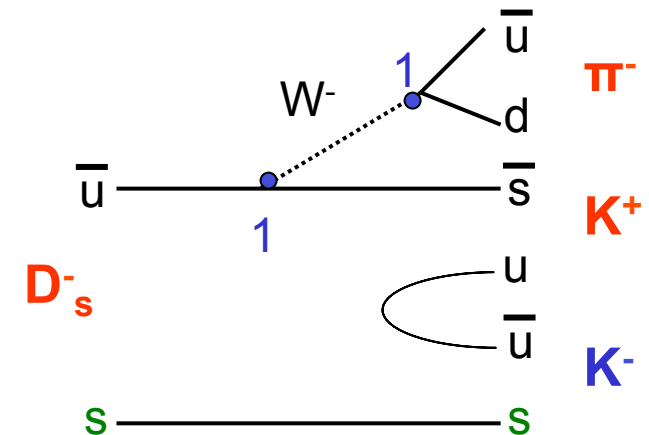


D_s^+

possible quark s: $1 \rightarrow \lambda$ (SCS)



D^-



D_s^-

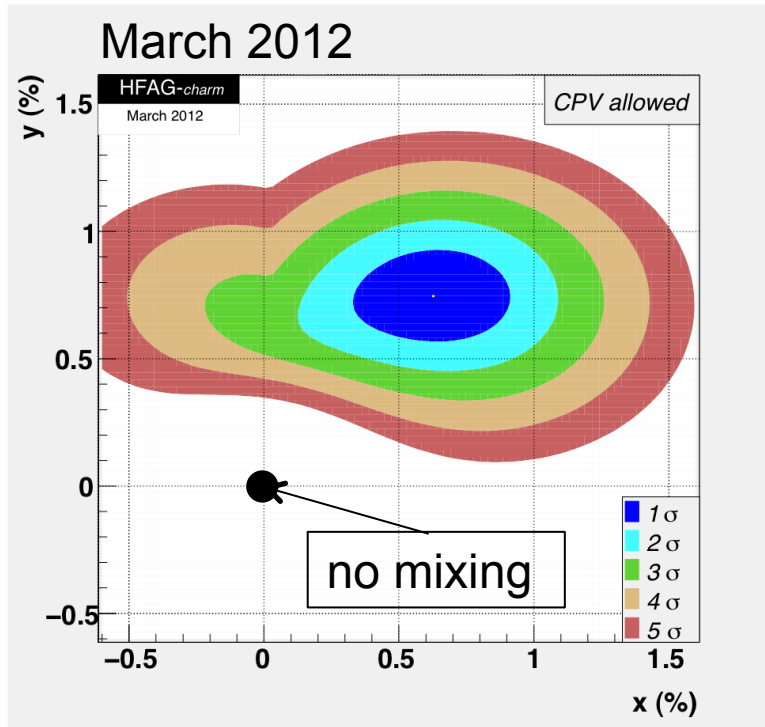
Control decays are used to check the detector effects

Current constraints

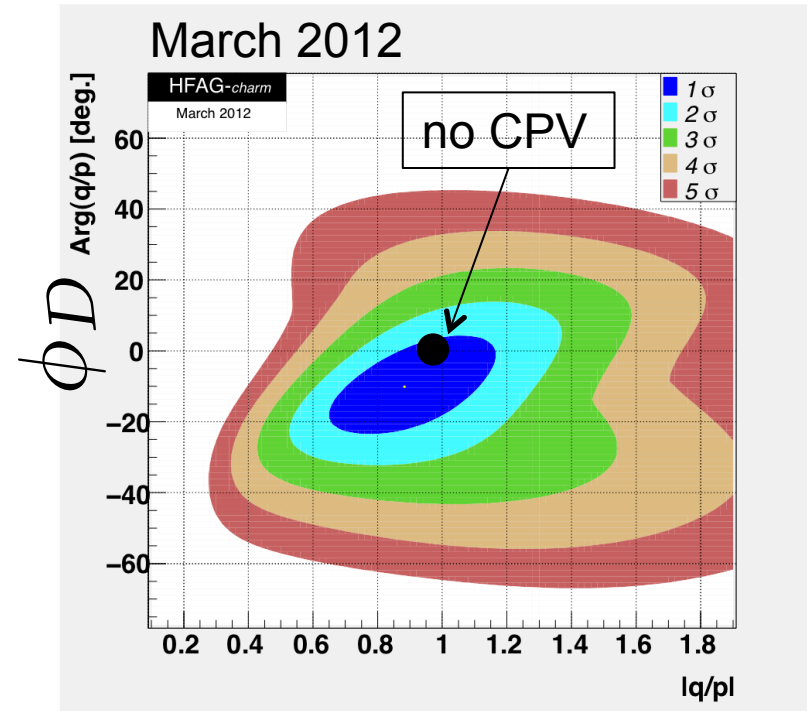
First evidence of mixing D^0 -anti- D^0 : BaBar, Belle (2007), CDF (2008)

- open possibilities of rich structure of CP violation in charm sector

$$y = \frac{\Delta\Gamma}{2\Gamma}$$



$$x = \frac{\Delta m}{\Gamma}$$



$$\phi_D \equiv \arg(-M_{12}/\Gamma_{12})$$

$$|D_{1,2}\rangle = p|D^0\rangle \pm q|\bar{D}^0\rangle$$

CPV in mixing: if $\phi_D \neq 0$ or $|q/p| \neq 1$

- Only the combination of all measurements provides confirmation of D^0 -anti- D^0 mixing
- Before LHCb there was no observation of the phenomenon in a single measurement

Why are we interested in charm sector?

- So far there was no observation of CP violation in charm sector
 - next step: confirmation of CP asymmetry
- In SM expected CP asymmetry is small ($<10^{-3}$)
 - much smaller than in the beauty sector
 - perfect place for New Physics searching (small contribution from SM)
- Input to b Physics
 - a lot of B mesons decay into c particles ($b \rightarrow c$) $\sim 50\%$ transitions

LHCb was built for b physics:

- for precise measurements of CPV in b decays and their very rare decays
- also c particle decays are reconstructed:
 - ✧ **LHCb has huge charm samples**
 - ✧ **charm cross section $\approx 20 \times$ b cross section** within the LHCb acceptance:

$$\sigma(b\bar{b}) = 75.3 \pm 5.4 \pm 13.0 \mu b$$

Phys.Lett.B694 (2010) 209-216

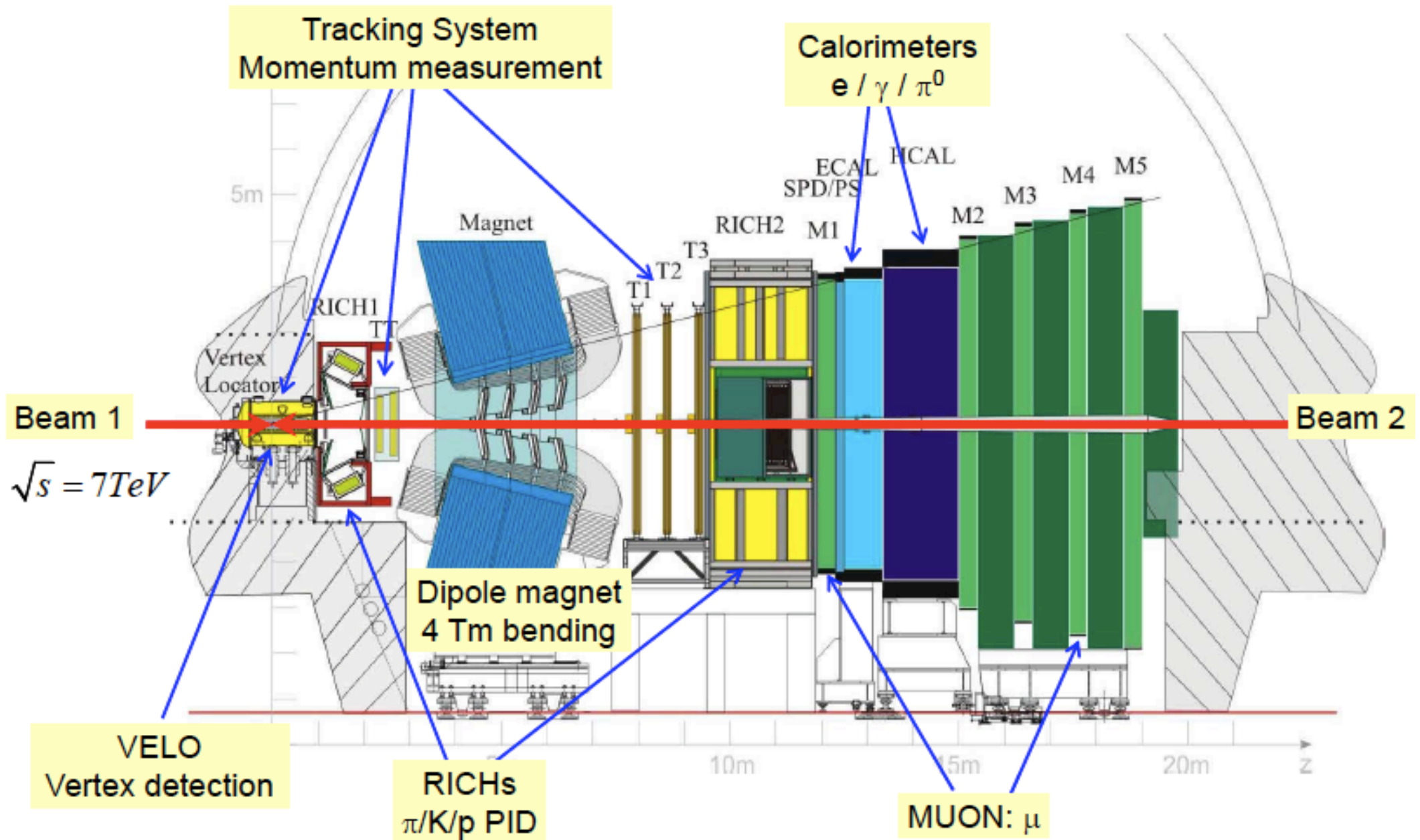
$$\sigma(c\bar{c}) = 1419 \pm 12 \pm 116 \mu b \sim 20 \times \sigma(b\bar{b})$$

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- ✧ **Largest charm samples in the world:**
 - ✓ **2011: 1/fb**
 - ✓ **2012: 2/fb**
- ✧ **for example: $\sim 2M$ $D^{*\pm} \rightarrow D^0(\rightarrow K^-K^+)\pi^\pm$ reconstructed for 1/fb**

LHCb – precision detector

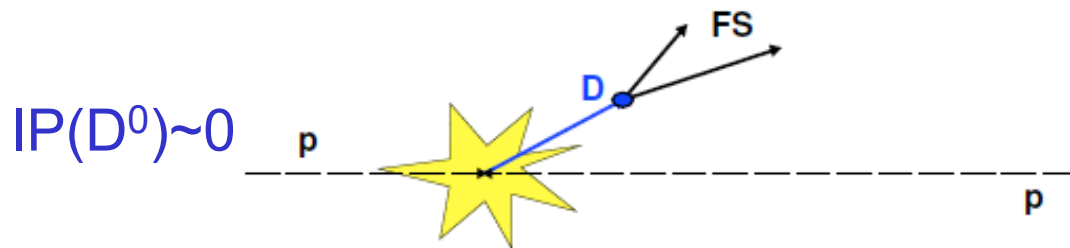
Single-arm forward spectrometer covering range: $2 < \eta < 5$



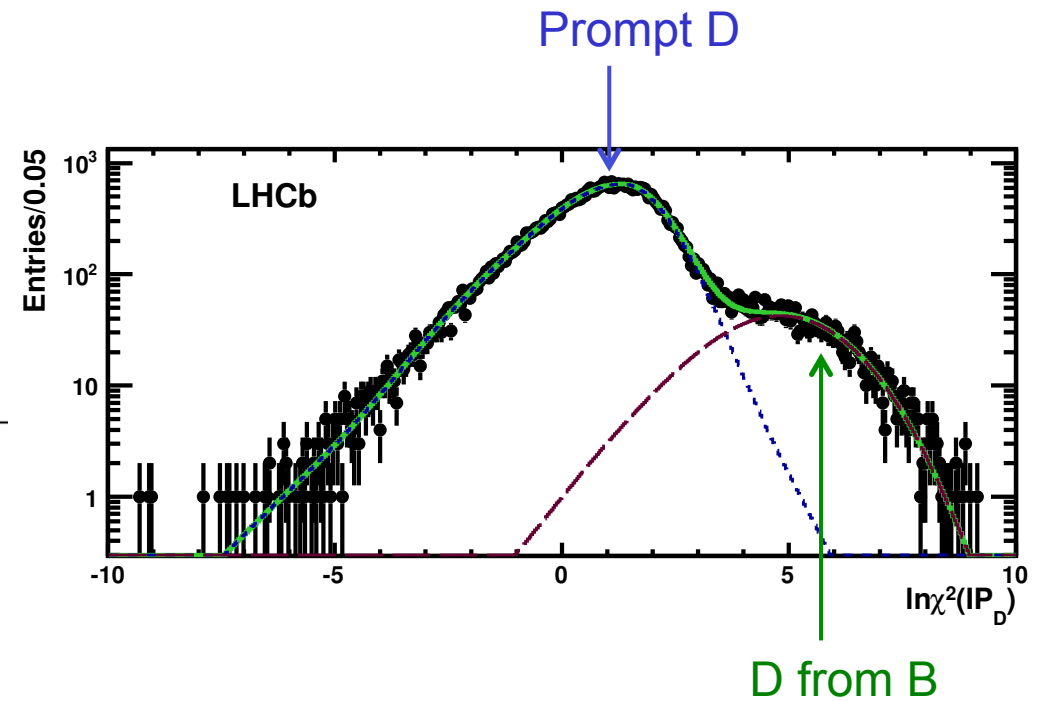
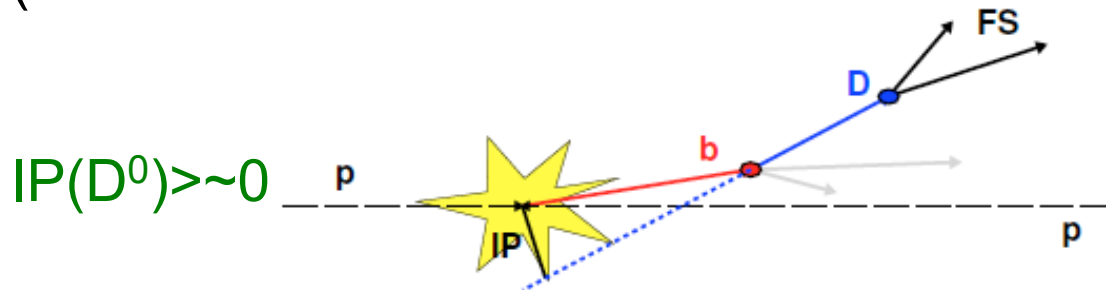
- VELO:
 - ✓ resolution of IP: 20 μm
 - ✓ decay lifetime resolution ~ 45 fs: $0.1 \tau(D^0)$
(depends on the channel, for 2012 statistics ~ 15 fs for $D^0 \rightarrow KK$)
- Excellent tracking resolution: $\Delta p/p = 0.4\%$ at 5 GeV to 0.6% at 100 GeV
- RICH:
 - ✓ very good particle identification for π and K
- Dedicated exclusive trigger lines for charm with high efficiency
 - ✓ HTL1: efficiency $\sim 50\%$
 - ✓ HLT2: efficiency 50-90% for $D \rightarrow hh/3h/4h$
- The polarity of the magnet is reversed repeatedly during data taking
- LHCb has possibilities of very precise measurements of charm particles

Two production types of charm:

- prompt** – produced directly in the primary vertex (PV)



- secondary** – produced in B decays (>50% of $B \rightarrow DX$)



IP – impact parameter wrt. the PV

To separate prompt charm and secondary charm decays we use the cut on $\chi^2(IP)$ parameter

The tagging of D^0 flavour

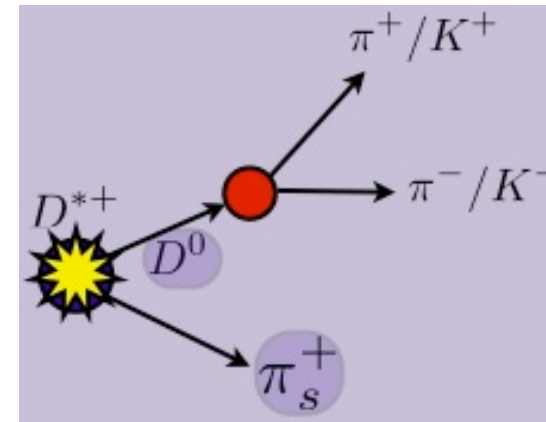
LHCb uses two methods to identify D^0 flavour at the production state

✧ pion-tagged method

the sign of slow pion from D^* decays is used to tag the initial D^0 flavour

$$D^{*+} \rightarrow D^0 \pi^+_s$$

$$D^{*-} \rightarrow \text{anti-}D^0 \pi^-_s$$



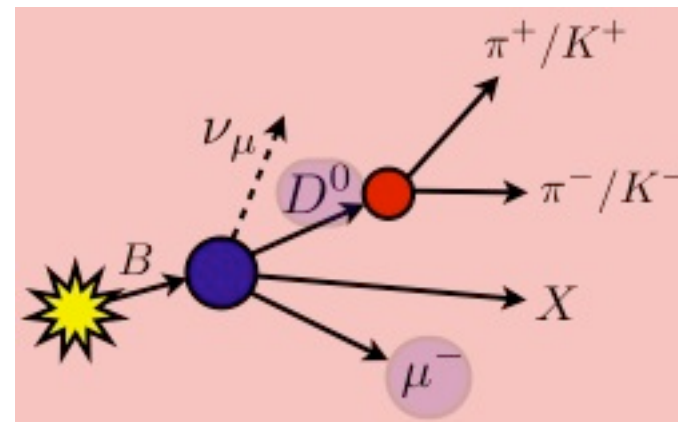
prompt D^0

✧ muon-tagged method

the sign of muon from semileptonic B decays is used to tag D^0 flavour

$$B \rightarrow D^0 \mu^- \nu_\mu X$$

$$B \rightarrow \text{anti-}D^0 \mu^+ \nu_\mu X$$



secondary D^0

✧ Decays $D^0 \rightarrow h^- h^+$

$$D^0 \rightarrow K^- K^+ \text{ (Singly Cabibbo Suppressed)}$$

$$D^0 \rightarrow K^- \pi^+ \text{ (Cabibbo Favoured)}$$

$$D^0 \rightarrow K^+ \pi^- \text{ (Doubly Cabibbo Suppressed)}$$

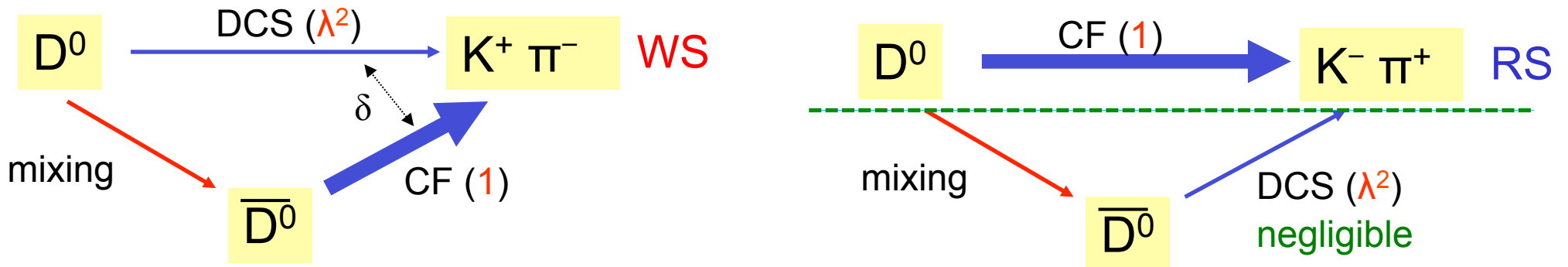
$$D^0 \rightarrow \pi^- \pi^+ \text{ (Singly Cabibbo Suppressed)}$$

Use to measure D^0 – anti- D^0 mixing parameters

D⁰ – anti-D⁰ mixing

Measure the time-dependent ratio of D⁰ decays with **Wrong Sign** to **Right Sign**

$$R(t) = \frac{N(D^0 \rightarrow K^+ \pi^-)}{N(D^0 \rightarrow K^- \pi^+)}$$



In the limit of small mixing $|x|, |y| \ll 1$ and for no CPV:

$$R(t) = \frac{N_{WS}(t)}{N_{RS}(t)} = \underbrace{R_D}_{\text{the ratio of DCS to CF decay rates}} + \underbrace{\sqrt{R_D} y' t}_{\text{the interference of the DCS and mixed decays}} + \underbrace{\frac{x'^2 + y'^2}{4} t^2}_{\text{mixing parameters}}$$

the ratio of DCS to CF decay rates

the interference of the DCS and mixed decays

mixing parameters

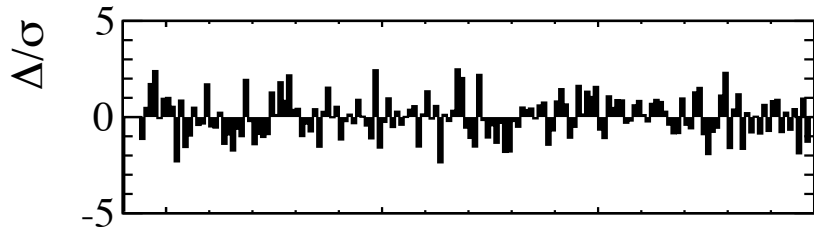
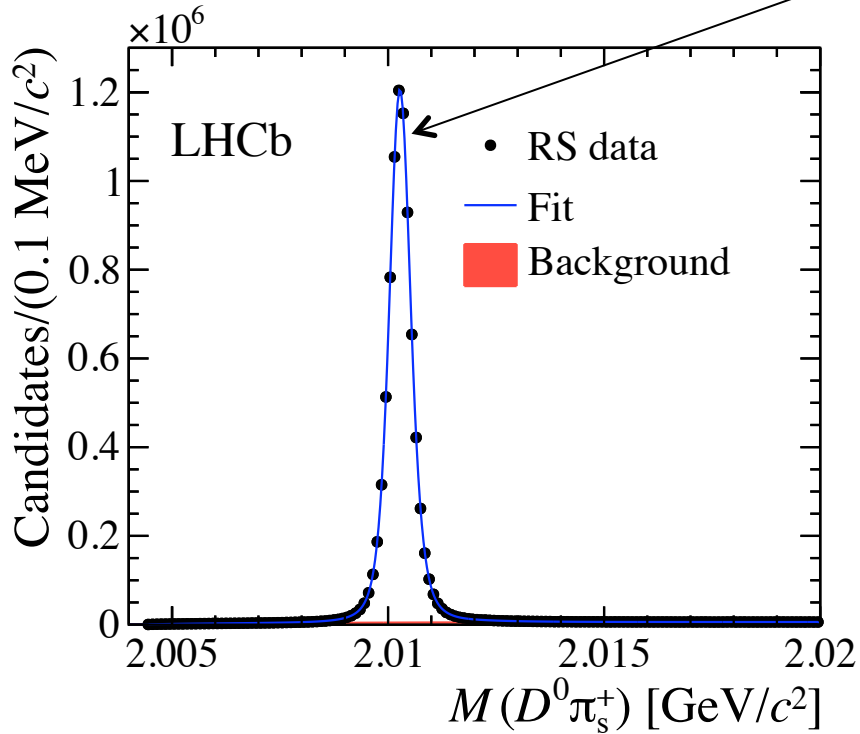
$$x' = x \cos \delta + y \sin \delta \quad y' = y \cos \delta - x \sin \delta$$

δ is a strong phase difference between DCS and CF amplitudes

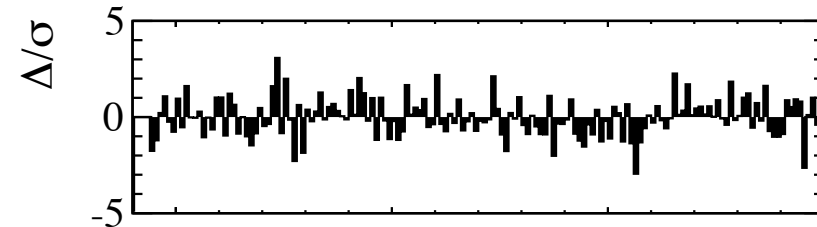
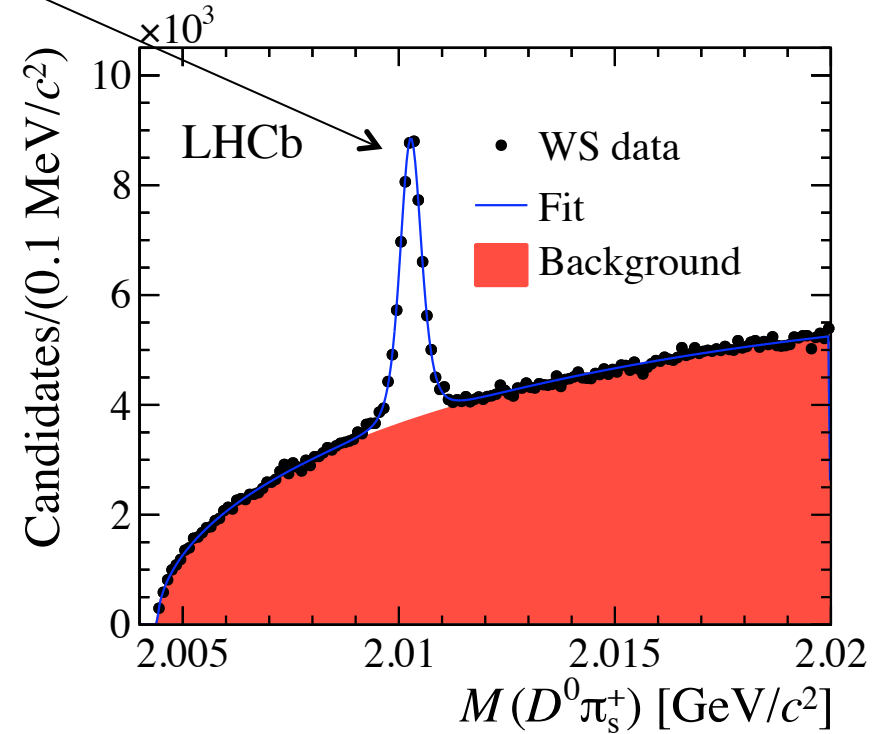
Time-integrated yields

This is NOT a Monte Carlo
 This is the LHCb 2011 data, $L=1/\text{fb}$

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 110 (2013) 101802



RS: $D^0 \rightarrow K^- \pi^+$
 8.4 M decays



WS: $D^0 \rightarrow K^+ \pi^-$
 36 k decays

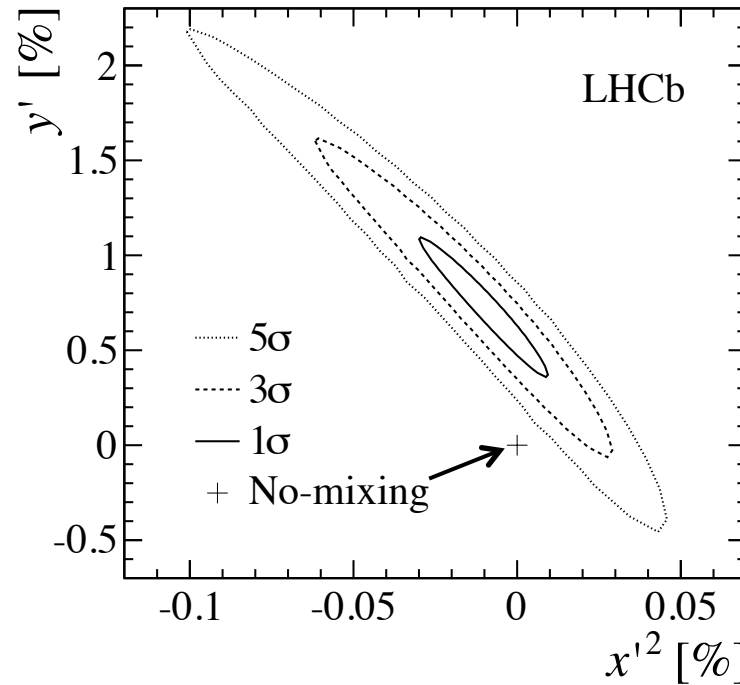
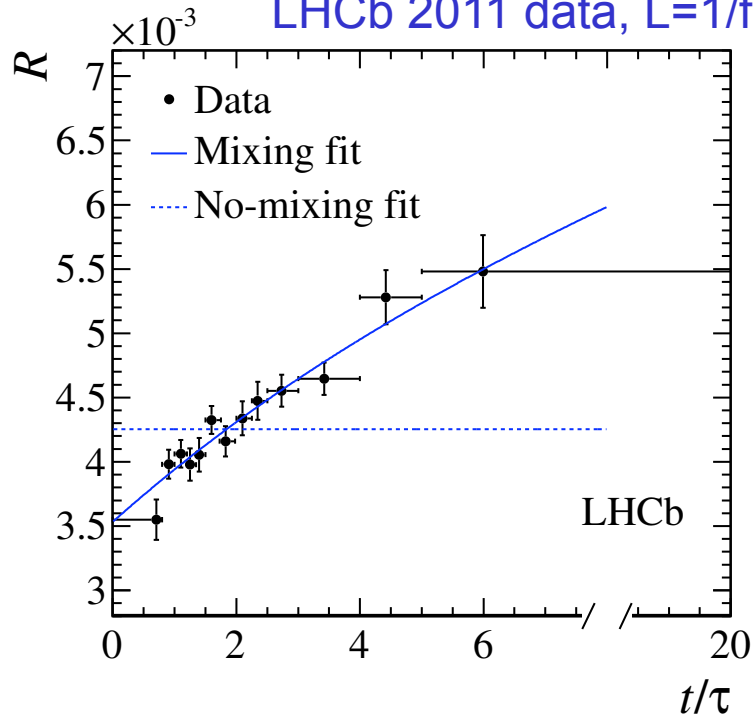
- To determine the time-dependent WS/RS ratio the data is divided into **thirteen D^0 decay time bins**, chosen to have a similar number of candidates in each bin
- The **signal yields** for the RS and WS samples **are determined in each decay time bin using fits** to the $M(D^0\pi^+_s)$ distribution
- The **WS/RS ratio is calculated in each decay time bin**
- The **mixing parameters are determined in a binned χ^2 fit** of the function

$$R(t) = \frac{N_{WS}(t)}{N_{RS}(t)} = R_D + \sqrt{R_D}y't + \frac{x'^2 + y'^2}{4}t^2$$

to the time dependence

Results for D^0 – anti- D^0 mixing

LHCb 2011 data, $L=1/\text{fb}$



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Estimated confidence-level (CL) regions for 1-CL = $1\sigma, 3\sigma, 5\sigma$

x'^2 is very small

Measurement is more sensitive to y'

Fit type (χ^2/ndf)	Parameter	Fit result (10^{-3})	Correlation coefficient		
			R_D	y'	x'^2
Mixing (9.5/10)	R_D	3.52 ± 0.15	1	-0.954	+0.882
	y'	7.2 ± 2.4		1	-0.973
	x'^2	-0.09 ± 0.13			1
No mixing (98.1/12)	R_D	4.25 ± 0.04			

$\Delta\chi^2 = 88.6$
corresponds to
p-value = 5.7×10^{-20}
which **excludes**
the no-mixing
hypothesis at 9.1σ

Uncertainties include stat. and syst. sources

First observation of D^0 – anti- D^0 mixing in a single measurement

Comparison with other experiments

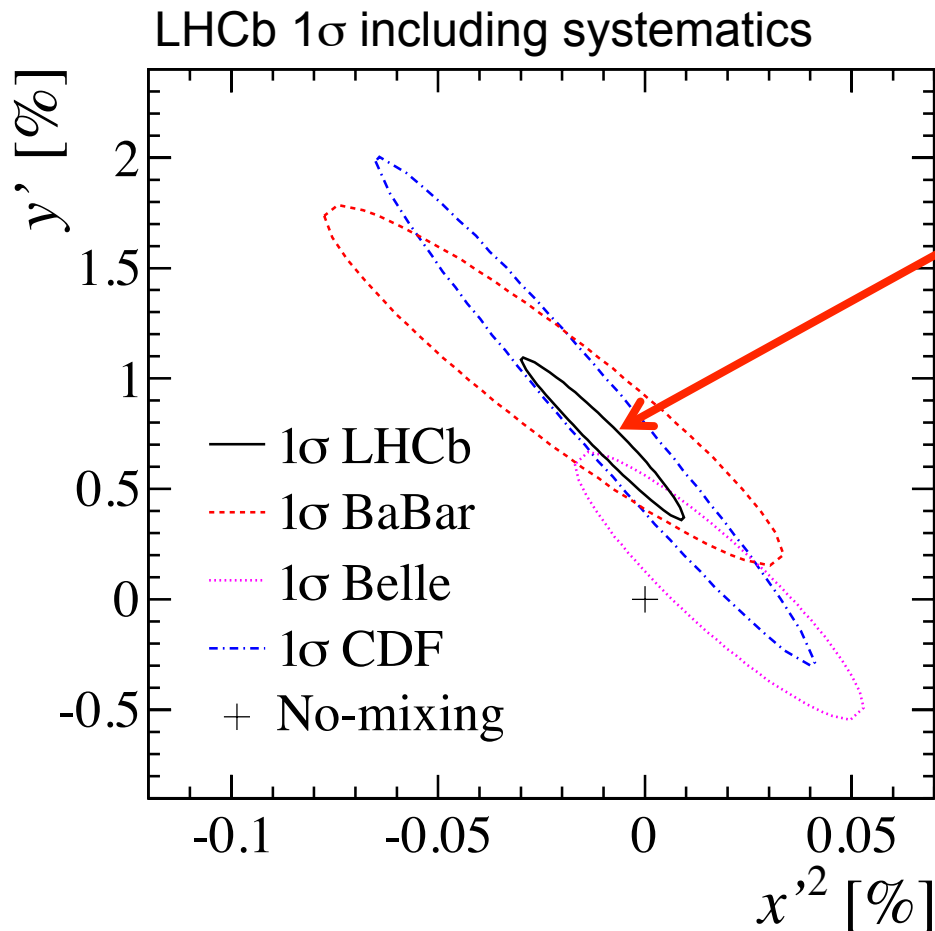
Experiment	R_D (10^{-3})	y' (10^{-3})	x'^2 (10^{-4})
LHCb	3.52 ± 0.15	7.2 ± 2.4	-0.9 ± 1.3
BaBar	3.03 ± 0.19	9.7 ± 5.4	-2.2 ± 3.7
Belle	3.64 ± 0.17	$0.6^{+4.0}_{-3.9}$	$1.8^{+2.1}_{-2.3}$
CDF	3.04 ± 0.55	8.5 ± 7.6	-1.2 ± 3.5

LHCb: PRL 110 (2013) 101802

BaBar: PRL 98 (2007) 211802

Belle: PRL 96 (2006) 151801

CDF: PRL 100 (2008) 121802

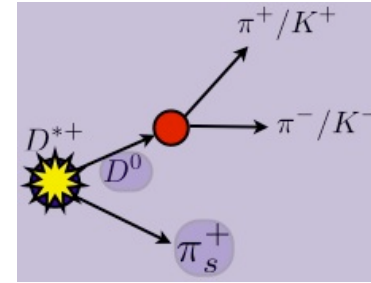


Measured parameters at LHCb are consistent with other experiments

- 2011 data, 1/fb
- more data is on tape

Time integrated CP violation in $D^0 \rightarrow K^- K^+$ and $D^0 \rightarrow \pi^- \pi^+$ decays pion-tagged analysis

We use decays of $D^{*\pm}$:



We want to measure **asymmetry** between charm particles and antiparticles:

$$A_{CP} \equiv \frac{N(D^0 \rightarrow h^- h^+) - N(\bar{D}^0 \rightarrow h^- h^+)}{N(D^0 \rightarrow h^- h^+) + N(\bar{D}^0 \rightarrow h^- h^+)}$$

Measured raw asymmetry A_{RAW} may be written as a sum of components that are **physics** and **detector** effects:

$$A_{RAW}(f)^* = A_{CP}(f) + A_D(f) + A_D(\pi_s) + A_P(D^*)$$

CP asymmetry
what we want to
measure

detector
asymmetry of D^0
reconstruction

detector
asymmetry of π_s
reconstruction

production asymmetry of D^*
in primary vertex (different
numbers of D^{*+} and D^{*-})

- A_{RAW} , A_D and A_P are defined in the same fashion as A_{CP}
- all asymmetries of order 1% or smaller

Time integrated CP violation in $D^0 \rightarrow K^-K^+$ and $D^0 \rightarrow \pi^-\pi^+$ decays pion-tagged analysis

$$A_{RAW}(f)^* = A_{CP}(f) + A_D(f) + A_D(\pi_s) + A_P(D^*)$$

Detector asymmetries for K^-K^+ and $\pi^-\pi^+$ cancel since the final states are charge symmetric

$$A_D(K^-K^+) = 0 = A_D(\pi^-\pi^+)$$

In any given kinematic region $A_D(\pi_s)$ and $A_P(D^*)$ are independent of f and thus **in the first-order** those terms **cancel** if we subtract raw asymmetries

$$\begin{aligned} A_{RAW}(K^+K^-)^* - A_{RAW}(\pi^+\pi^-)^* &= \\ &= A_{CP}(K^+K^-) - A_{CP}(\pi^+\pi^-) \equiv \Delta A_{CP} \end{aligned}$$

↑
Direct and indirect CPV
can contribute

ΔA_{CP} interpretation

CPV asymmetry of each final state is a sum of:

$$A_{CP}(f) = a_{CP}^{dir}(f) + \frac{\langle t \rangle}{\tau} a_{CP}^{ind}$$

asymmetry in the decay amplitude → $a_{CP}^{dir}(f)$
asymmetry due to mixing and interference between mixing and decay → a_{CP}^{ind}
Mean proper time in used sample (acceptances are functions of time and for K^-K^+ and $\pi^-\pi^+$ are slightly different) → $\langle t \rangle$
Lifetime of D^0 (PDG) → τ

[JHEP 1106 (2011) 089]

$$\Delta A_{CP} \equiv A_{CP}(K^+K^-) - A_{CP}(\pi^+\pi^-)$$

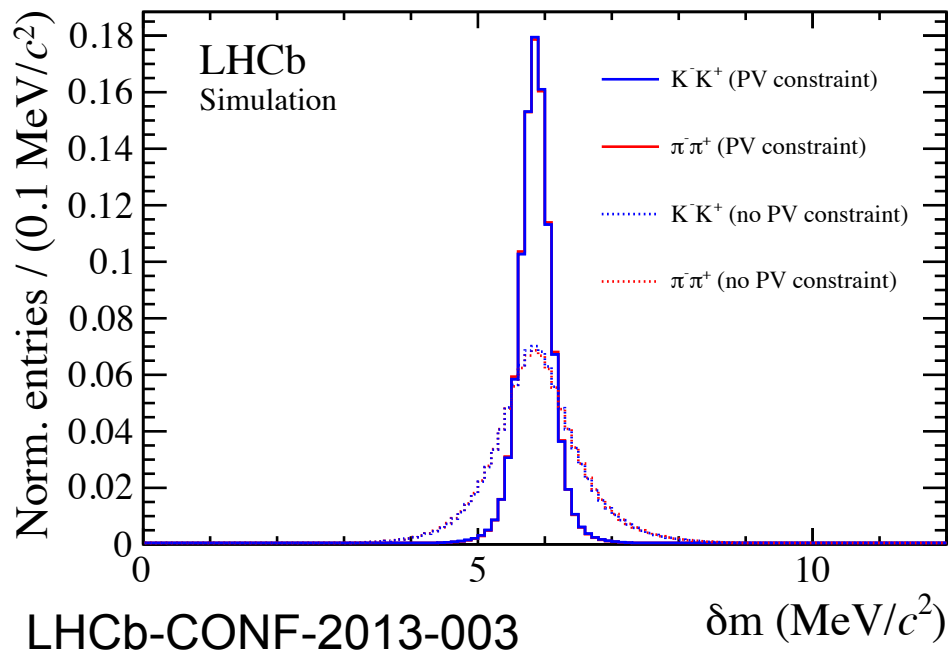
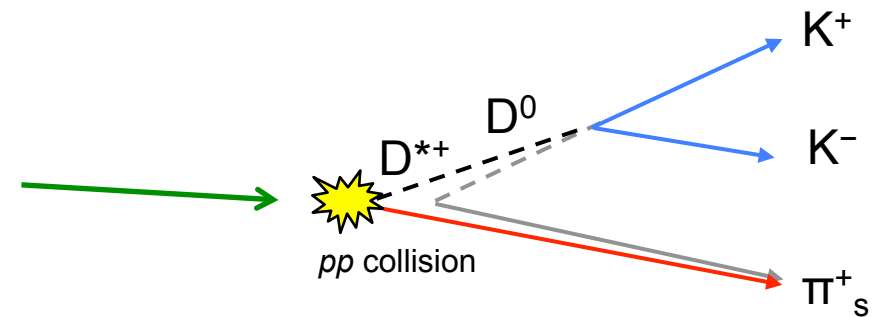
$$\Delta A_{CP} = [a_{CP}^{dir}(K^-K^+) - a_{CP}^{dir}(\pi^-\pi^+)] + \frac{\Delta \langle t \rangle}{\tau} a_{CP}^{ind}$$

- ΔA_{CP} is equal to the difference in the direct CP asymmetry between the two decays in the limit that $\Delta \langle t \rangle$ or a_{CP}^{ind} vanishes
- **direct CP** depends on the f
- **indirect CPV** is universal (up to 10^{-2} correction)
 - ✧ its contribution cancels in subtraction if lifetime acceptance same for K^-K^+ and $\pi^-\pi^+$
 - ✧ if time-acceptance is different, contribution a_{CP}^{ind} remains

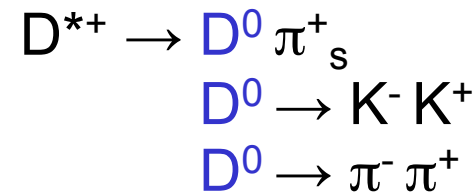
1st measurement of ΔA_{CP} from D^* decays

- Update of analysis from 2011 0.6/fb \rightarrow 1/fb (full 2011 dataset)
- Update includes new reconstruction
 - ✧ improved tracking alignment
 - ✧ improved particle identification from RICH calibration

- New in the vertex fit
 constrain the D^* vertex to the primary vertex
 - ✧ improves δm resolution by factor ~ 2.5
 \rightarrow better background separation



$$\delta m \equiv m(h^- h^+ \pi_s^+) - m(h^- h^+) - m(\pi_s^+)$$



Signal yields

D^0 decays come from $D^{*+} \rightarrow D^0 \pi^+$ decays in region:

$$0 < \delta m < 12 \text{ MeV}$$

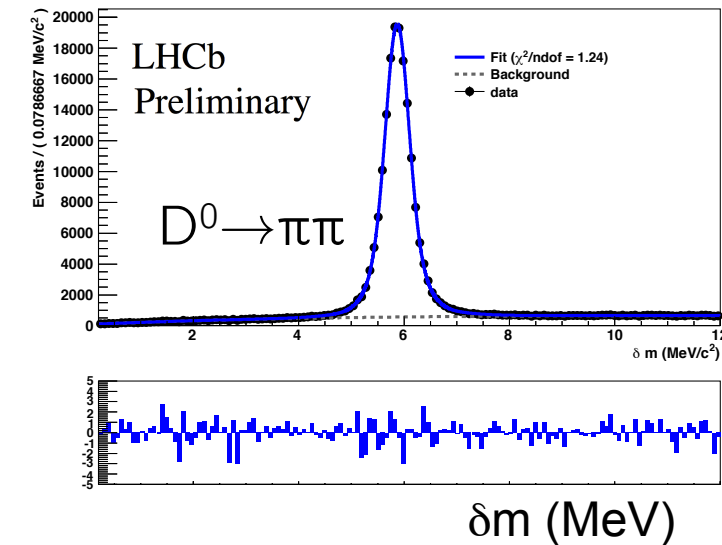
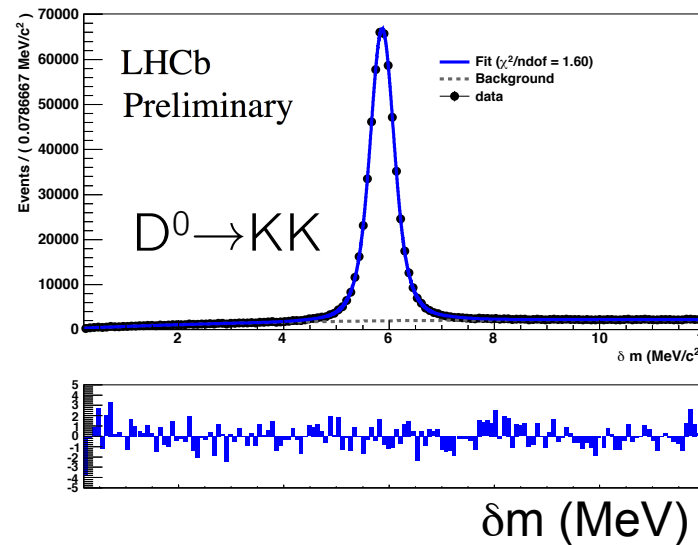
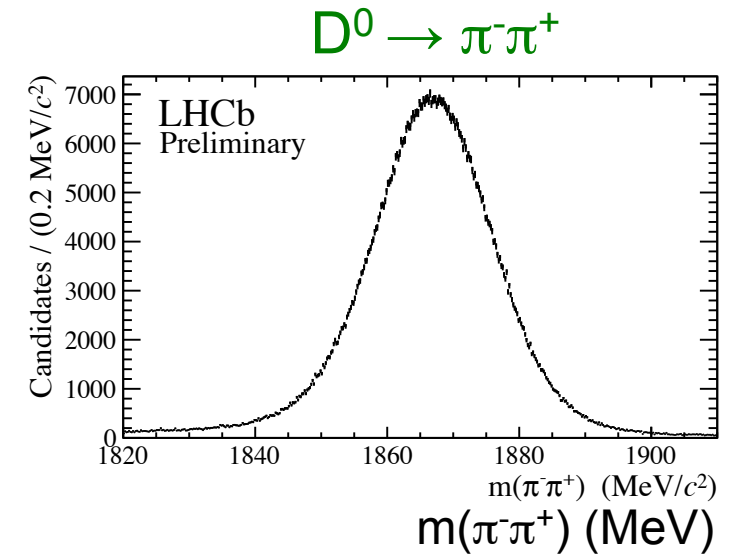
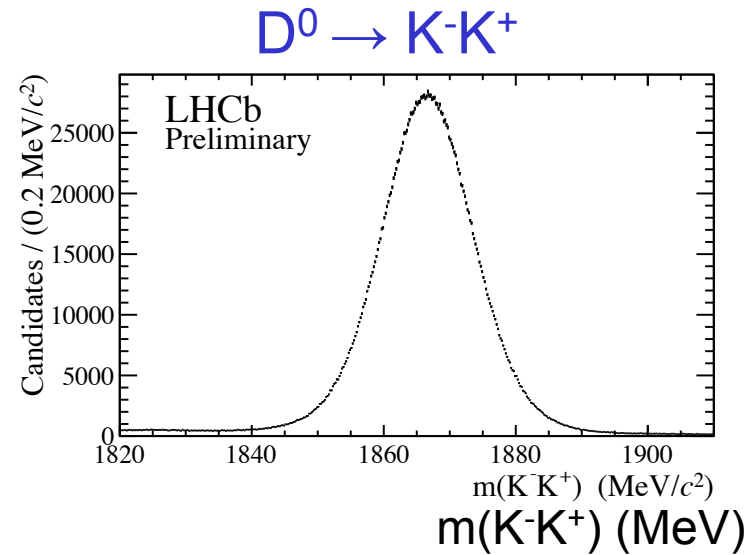
$$\delta m = m(D^0 \pi^+) - m(D^0) - m(\pi^+)$$

For $1/\text{fb}$ in window mass from fit to δm :

$$1844 < m(D^0) < 1884 \text{ MeV}$$

$K^- K^+$: 2.24 million events

$\pi^- \pi^+$: 0.69 million events

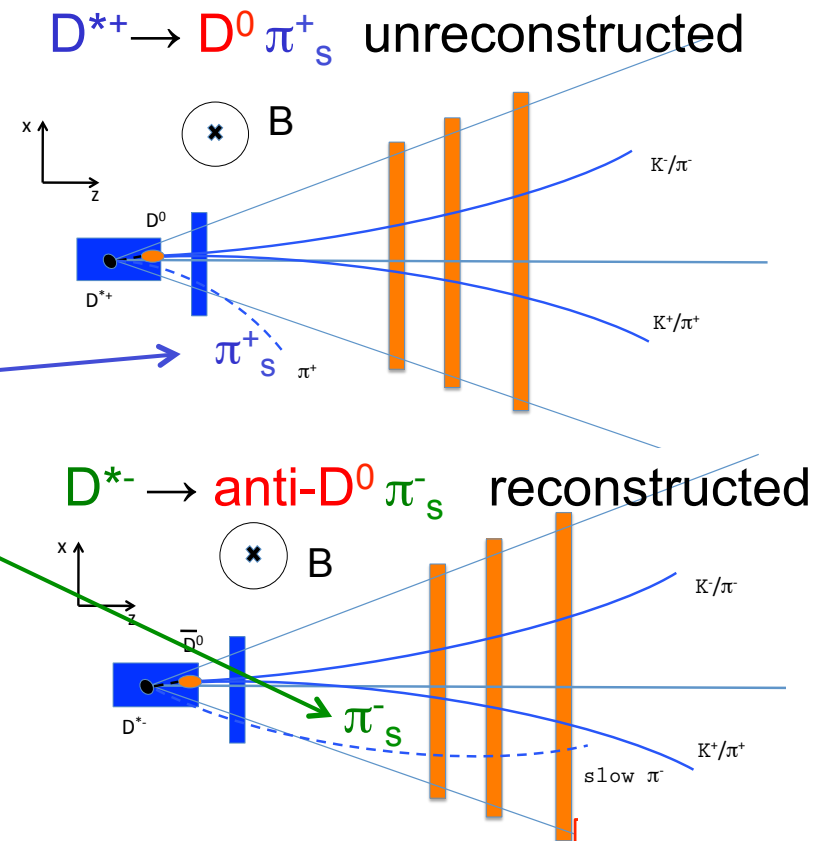
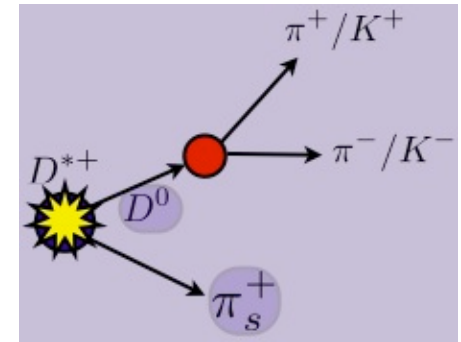


From simultaneous fits to δm for distributions of D^{*+} and D^{*-} we determine raw asymmetries $A_{\text{RAW}}(K^- K^+)$ and $A_{\text{RAW}}(\pi^- \pi^+)$ and calculate ΔA_{CP}

Systematic uncertainties

Systematic uncertainties with the highest contribution in change of ΔA_{CP} :

- **Imperfect reconstruction: 0.08 %**
excluding events with imperfect reconstruction, in which π_s has a large IP w.r.t the primary vertex
- **Peaking background: 0.04 %**
use different fits to the $m(K^-K^+)$ and $m(\pi^-\pi^+)$ spectra to test for potential peaking background contributions
- **Fit model: 0.03 %**
sideband subtraction instead of a fit
- **Fiducial cut: 0.02 %**
loosing fiducial requirement on π_s
- **Multiple candidates: 0.01 %**
removing multiple candidates, keeping only one candidate per event chosen at random
- **Reweighting: 0.01%**
due to different kinematics for K^-K^+ and $\pi^-\pi^+$



Total systematic uncertainty: 0.10%
(can be reduced)

large asymmetry between D^{*+} and D^{*-} in edges of acceptance region

1st measurement of ΔA_{CP} from D^* decay

Preliminary result (2011, 1/fb):

$$\Delta A_{CP} = [-0.34 \pm 0.15^{stat} \pm 0.10^{syst}] \%$$

LHCb-CONF-2013-003

Difference in decay time acceptance:

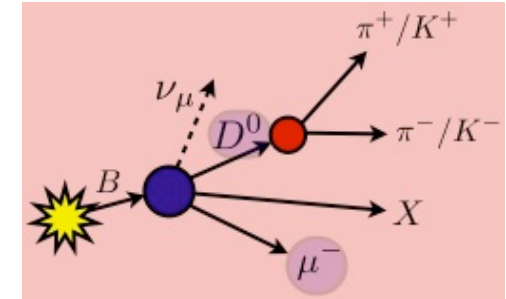
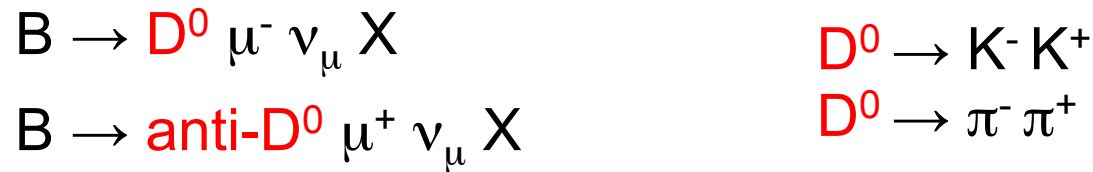
$$\Delta \langle t \rangle / \tau = [11.19 \pm 0.15^{stat} \pm 0.17^{syst}] \%$$

$$\Delta A_{CP} = [a_{CP}^{dir}(K^- K^+) - a_{CP}^{dir}(\pi^- \pi^+)] + \frac{\Delta \langle t \rangle}{\tau} a_{CP}^{ind}$$

Contributions from indirect CPV is suppressed by one order of magnitude

2nd measurement of ΔA_{CP} from semileptonic B decays

We use semileptonic B decays (**independent method**):



In similar way to the previous analysis

$$A_{RAW}(f)^* = A_{CP}(f) + A_D(f) + A_D(\mu^+) + A_P(B)$$

CP asymmetry
what we want to
measure

detector
asymmetry of D^0
reconstruction
cancel

detector
asymmetry of μ
reconstruction

production asymmetry of B

The production and **muon detection** asymmetries will **cancel in subtraction** if kinematics of μ and B meson are the same for both $D^0 \rightarrow K^- K^+$ and $D^0 \rightarrow \pi^- \pi^+$

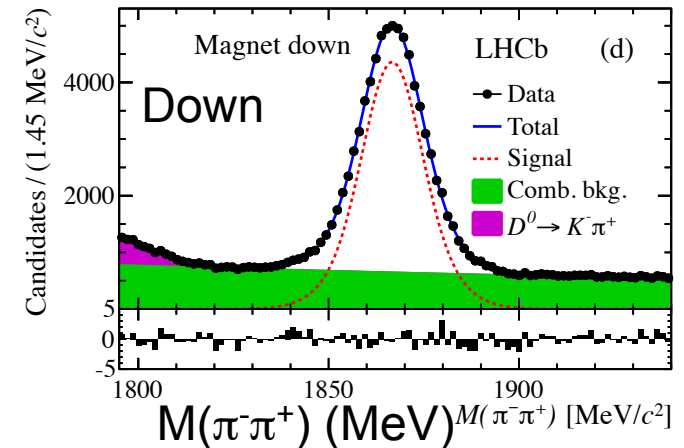
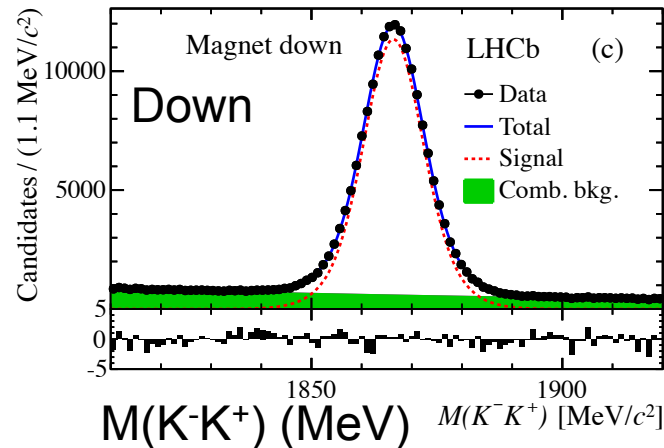
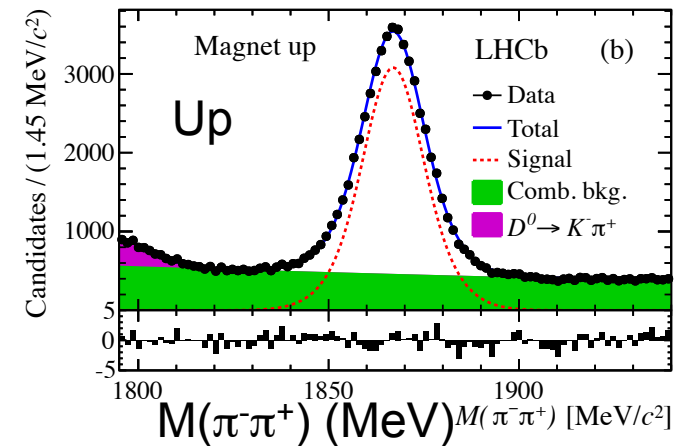
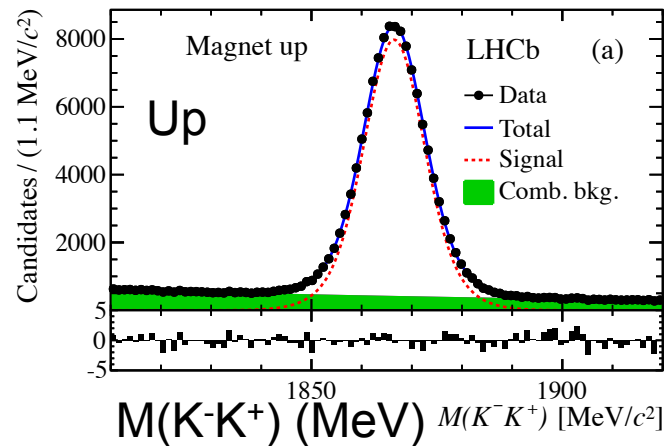
$$\begin{aligned}
 A_{RAW}(K^+ K^-)^* - A_{RAW}(\pi^+ \pi^-)^* &= \\
 &= A_{CP}(K^+ K^-) - A_{CP}(\pi^+ \pi^-) \equiv \Delta A_{CP}
 \end{aligned}$$

In similar way to the previous analysis ΔA_{CP} is calculated **separately for two field polarities** (to reduce as much as possible any residual effects of the detection asymmetry)



LHCb, 1/fb
(full dataset 2011):
0.4/fb magnet up
0.6/fb magnet down

Clean signal
 $B \rightarrow D^0 \mu^- \nu_\mu X$
559k $D^0 \rightarrow K^- K^+$
222k $D^0 \rightarrow \pi^- \pi^+$



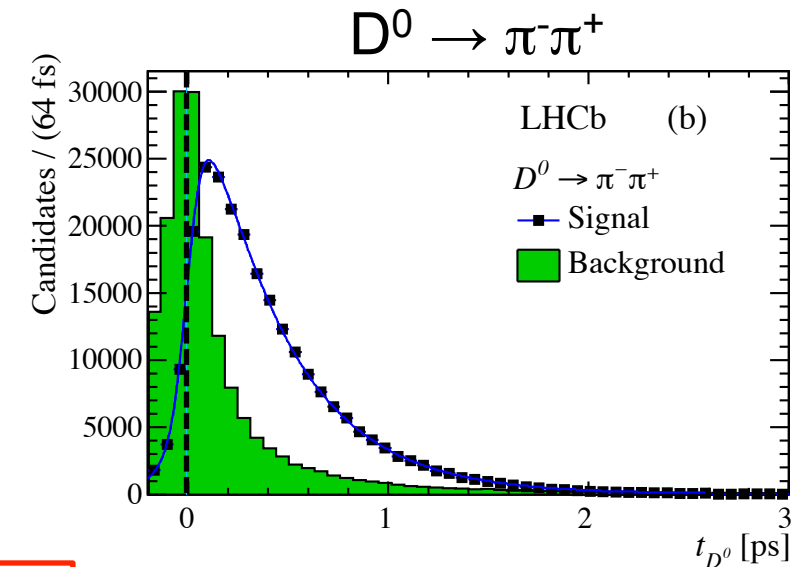
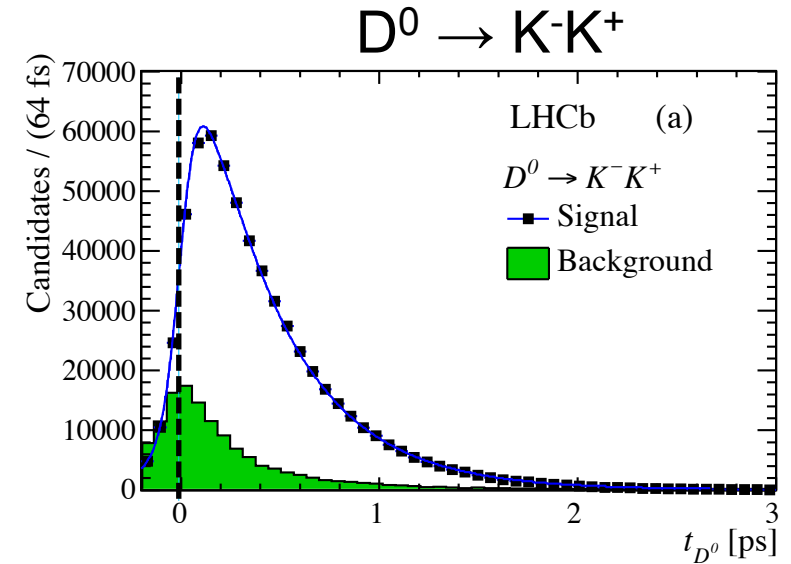
Yields (and asymmetry) determined from fit to D^0 mass distribution (different from pion-tagged analysis where yields determined from D^* mass distribution)

Measurement: $\Delta A_{CP}(\text{Magnet up}) = 0.86 \pm 0.46$; $\Delta A_{CP}(\text{Magnet down}) = 0.09 \pm 0.39$
(stat. only)

Systematic uncertainties

Systematic uncertainties with the highest contribution in change of ΔA_{CP} :

- **Low-lifetime background in $D^0 \rightarrow \pi^- \pi^+$: 0.11%**
there is more background around $t=0$ in $D^0 \rightarrow \pi^- \pi^+$ than in $D^0 \rightarrow K^- K^+$; evaluation of ΔA_{CP} checked when negative lifetime events were included
- **Fit model: 0.05%**
sideband subtraction instead of a fit
- **Different weighting: 0.05%**
after weighting the D^0 distributions in p_T and η small differences remain in muon kinematic distributions; evaluation of ΔA_{CP} checked when additional weight is applied in muon distributions p_T , η and ϕ
- **Wrong muon tags: 0.02%**
the D^0 flavour can be not tagged correctly due to muon misreconstruction; mistag probability measured using muon-tagged $D^0 \rightarrow K^- \pi^+$ (almost self-tagging) by comparison muon charge with kaon charge



Total systematic uncertainty: 0.14% (can be reduced)

Comparison of ΔA_{CP} measurements

- 1) From semileptonic B decays (arXiv: 1303.2614, Submitted to Phys.Lett.B)

$$\Delta A_{CP} = [0.49 \pm 0.30^{stat} \pm 0.14^{syst}] \%$$

Difference in decay time acceptance (**small value**):

$$\Delta \langle t \rangle / \tau(D^0) = 0.018 \pm 0.002^{stat} \pm 0.007^{syst}$$

Contribution from indirect CPV is negligible: $\Delta A_{CP} = \Delta a_{CP}^{dir}$

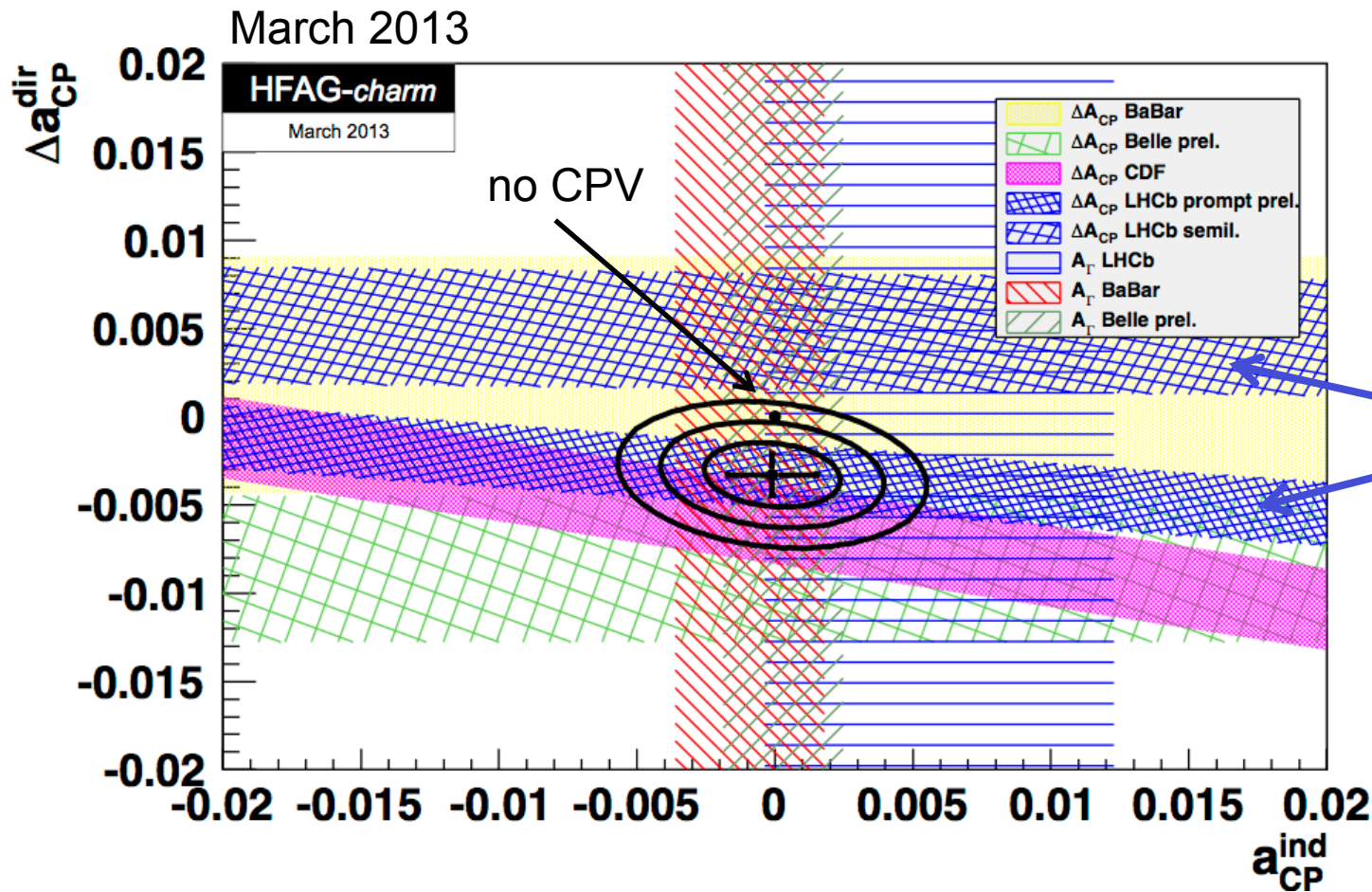
- 2) From pion-tagged D^* decays (LHCb-CONF-2013-003)

$$\Delta A_{CP} = [-0.34 \pm 0.15^{stat} \pm 0.10^{syst}] \%$$

- Two measurements are statistically independent
- and compatible at 3% level (difference 2.2σ)

ΔA_{CP} Preliminary new world average

New average includes BaBar, CDF, Belle and new LHCb results



Naive average neglecting indirect CPV
 $\Delta A_{CP} = (-0.33 \pm 0.12)\%$

LHCb

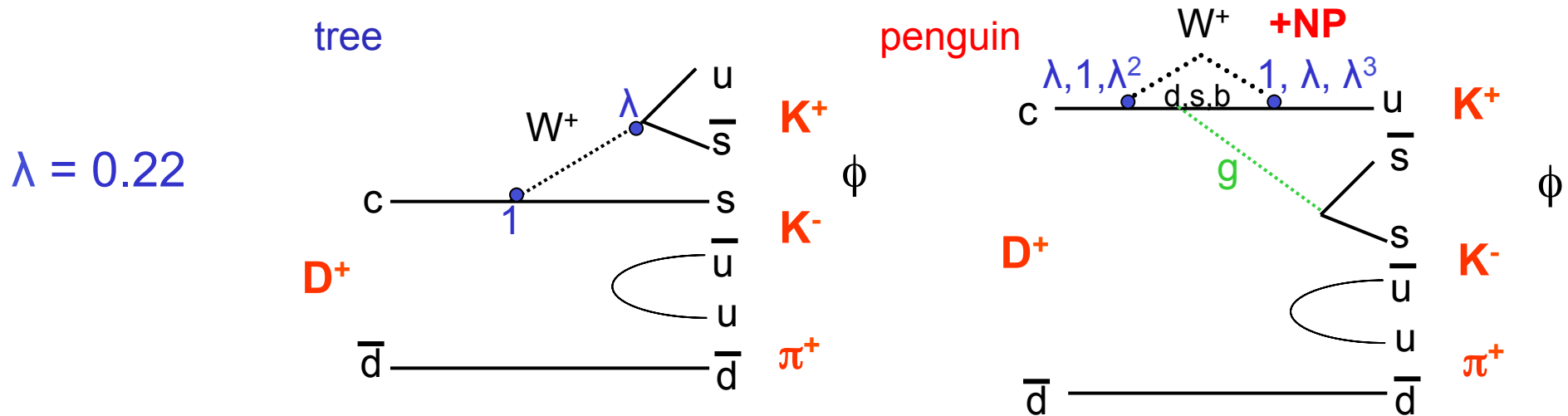
Now:

- the central value is considerably closer to zero
- result does not confirm the evidence for direct CPV in the charm sector

CP violation in $D^+ \rightarrow \phi \pi^+$ and $D_s^+ \rightarrow K_s^0 \pi^+$ decays

No mixing in D^+ \rightarrow any CPV signal indicates direct CPV

Signal decays: $D^+ \rightarrow \phi \pi^+$ and $D_s^+ \rightarrow K_s^0 \pi^+$ are singly Cabibbo-suppressed decays where we expect CP asymmetry if tree and penguin processes interfere with different strong and weak phases



Control decays: $D^+ \rightarrow K_s^0 \pi^+$ and $D_s^+ \rightarrow \phi \pi^+$ where no CP asymmetry is expected

We measure the difference since effects of production asymmetry and of any detection asymmetry of pion cancel in subtraction

$$A_{CP}(D^+ \rightarrow \phi \pi^+) = A_{RAW}(D^+ \rightarrow \phi \pi^+) - A_{RAW}(D^+ \rightarrow K_s^0 \pi^+) + A_{CP}(K^0 / \bar{K}^0)$$

$$A_{CP}(D_s^+ \rightarrow K_s^0 \pi^+) = A_{RAW}(D_s^+ \rightarrow K_s^0 \pi^+) - A_{RAW}(D_s^+ \rightarrow \phi \pi^+) + A_{CP}(K^0 / \bar{K}^0)$$

Correction due to CPV in neutral Kaon system

Signal yields

LHCb 2011, 1/fb

Very low background

Signal decays

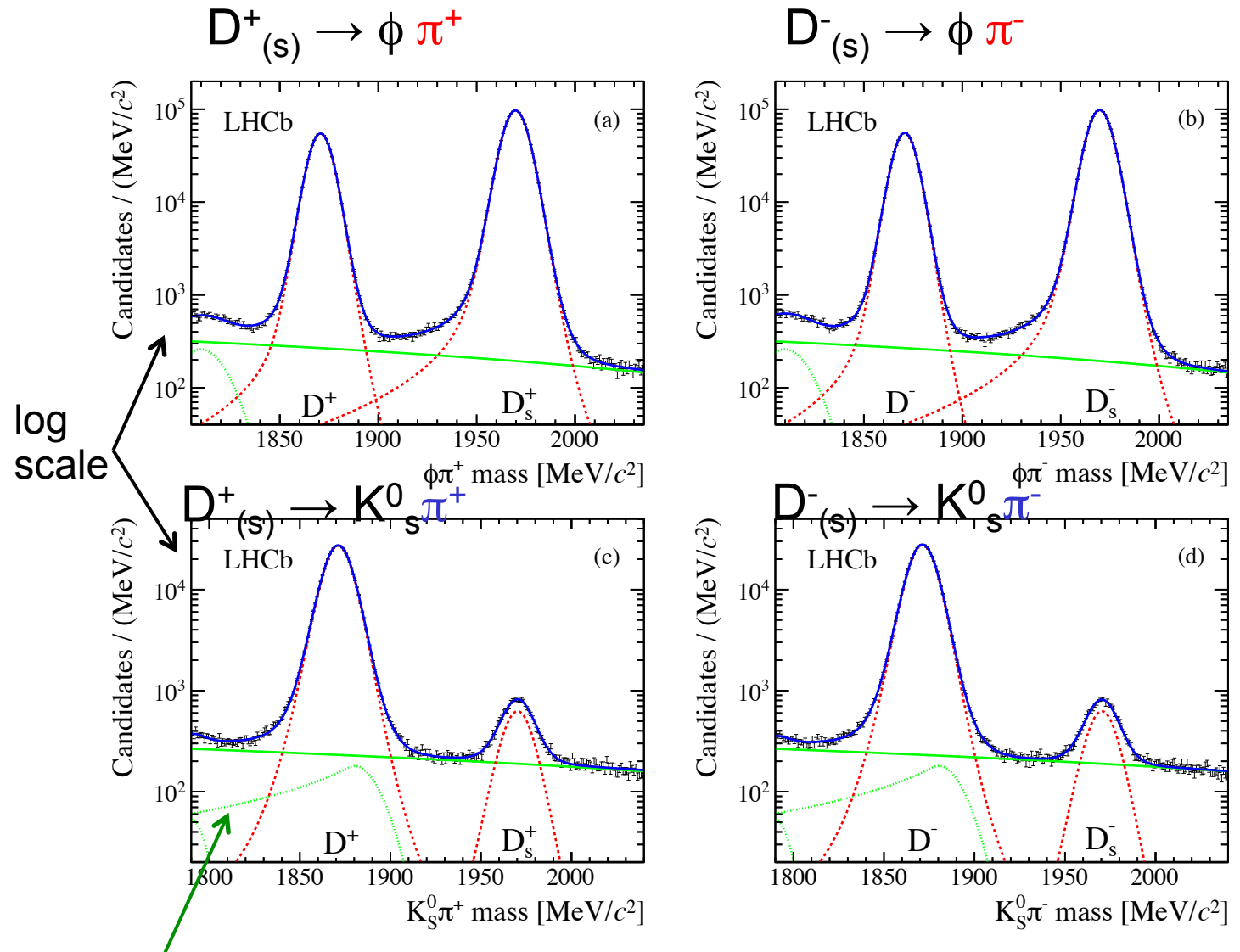
1.6M $D^+ \rightarrow \phi \pi^+$

26k $D_s^+ \rightarrow K^0 \pi^+$

Control decays

1.1M $D_s^+ \rightarrow \phi \pi^+$

3.6M $D^+ \rightarrow K^0 \pi^+$



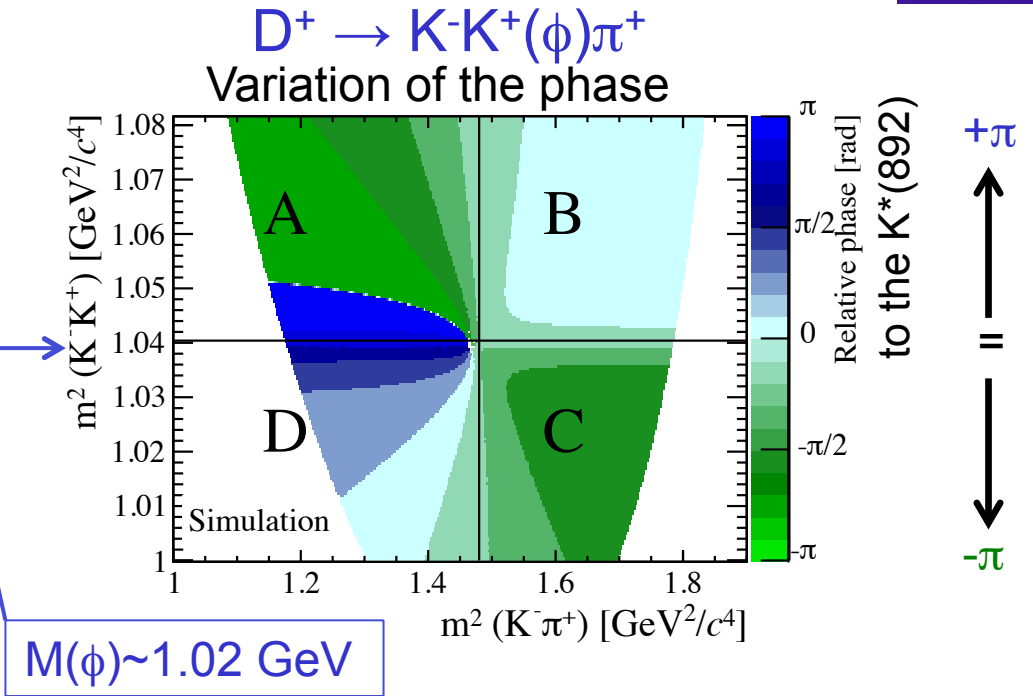
Background from mis-reconstructed decays:

(a) and (b) from $D^+_{(s)} \rightarrow \phi \pi^+ \pi^0$

(c) and (d) from $D^+_{(s)} \rightarrow K^0 \pi^+ \pi^0$ or $D^+_{(s)} \rightarrow K^0 \pi^+ K^+$

CP violation in $D^+ \rightarrow \phi \pi^+$ and $D^+_s \rightarrow K^0_s \pi^+$ decays

- To improve sensitivity to certain CPV we divide area around ϕ resonance in the Dalitz plot into **four regions**
- Relative strong phase varies rapidly across the ϕ region**
- The division is chosen to minimize the change in phase within each region

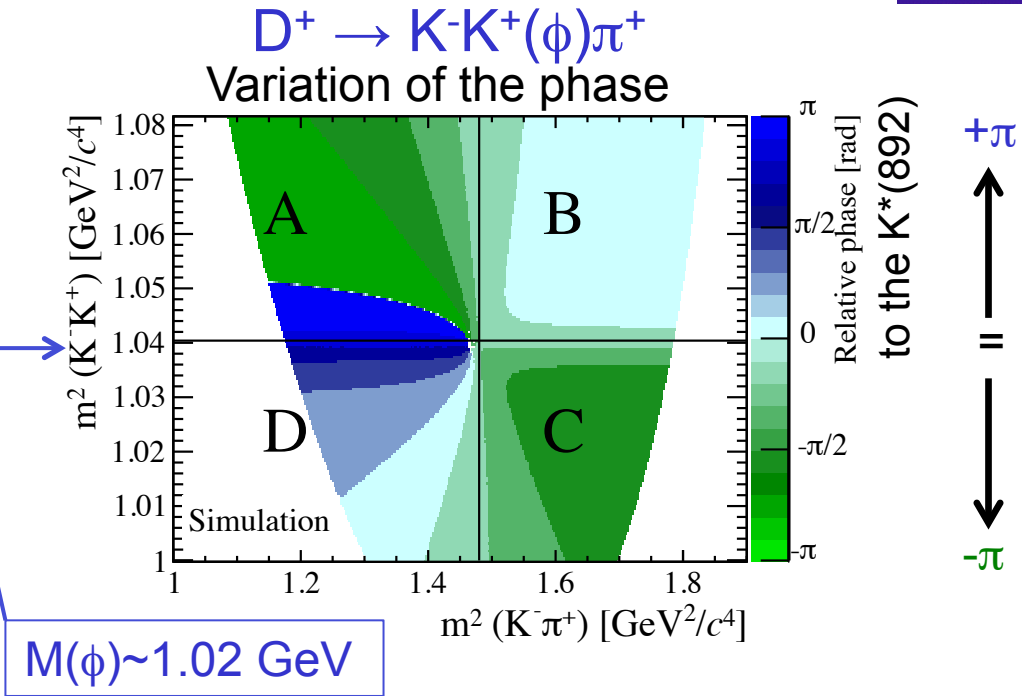


LHCb simulation, used isobar amplitude model favoured by CLEO-c [Phys.Rev.D78 (2008) 072003]

LHCb-PAPER-2012-052

CP violation in $D^+ \rightarrow \phi \pi^+$ and $D^+_s \rightarrow K^0_s \pi^+$ decays

- To improve sensitivity to certain CPV we divide area around ϕ resonance in the Dalitz plot into **four regions**
- Relative strong phase varies rapidly across the ϕ region**
- The division is chosen to minimize the change in phase within each region
- A difference between two diagonals with similar phases is calculated**



LHCb simulation, used isobar amplitude model favoured by CLEO-c [Phys.Rev.D78 (2008) 072003]

LHCb-PAPER-2012-052

$$A_{CP|S} = \frac{1}{2} (A_{RAW}^A + A_{RAW}^C - A_{RAW}^B - A_{RAW}^D)$$

Type of CPV	Mean A_{CP} (%)	Mean $A_{CP S}$ (%)
3° in ϕ phase	-0.01 (0.1σ)	-1.02 (5.1σ)
0.8% in ϕ amplitude	-0.50 (2.5σ)	-0.02 (0.1σ)
4° in $K_0^*(1430)^0$ phase	0.52 (2.6σ)	-0.89 (4.5σ)
4° in $K_0^*(800)$ phase	0.70 (3.5σ)	0.10 (0.5σ)

Simulations indicate that some types of CPV can be observed **more effectively** with A_{CP} and others with $A_{CP|S}$

CPV in $D^+ \rightarrow \phi \pi^+$ and $D_s^+ \rightarrow K_s^0 \pi^+$

No evidence for CPV is observed

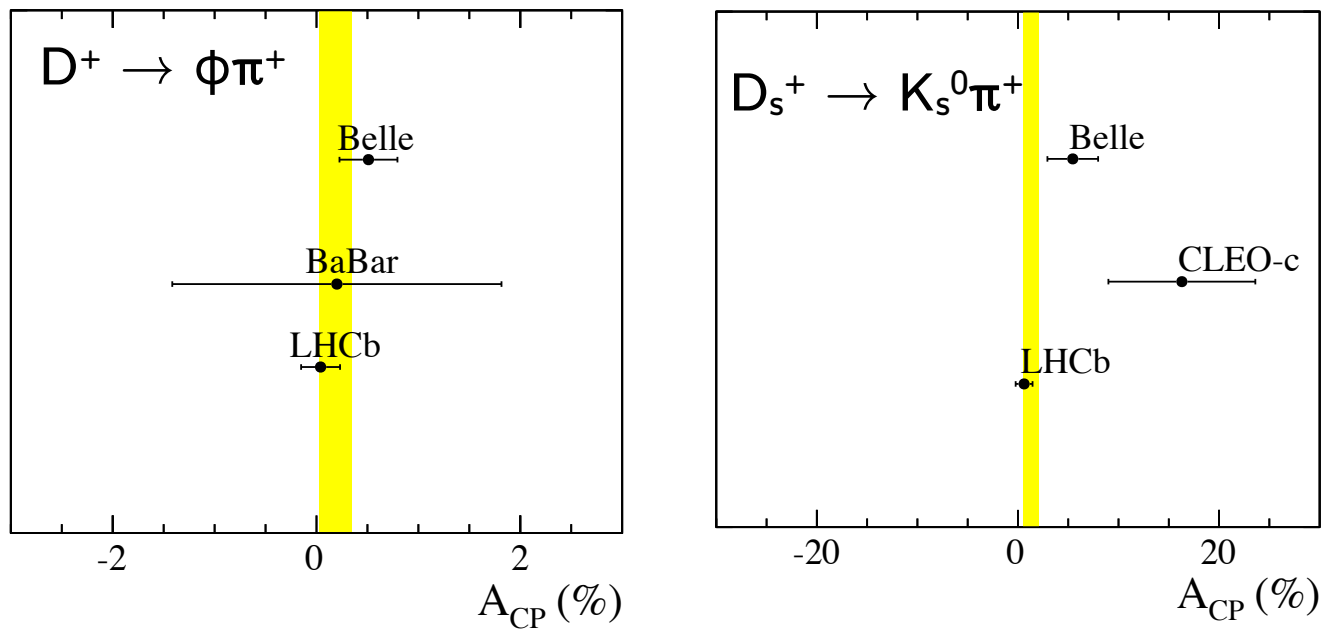
$$A_{CP}(D^+ \rightarrow \phi \pi^+) = (-0.04 \pm 0.14 \pm 0.13)\%$$

$$A_{CP|S}(D^+ \rightarrow \phi \pi^+) = (-0.18 \pm 0.17 \pm 0.18)\%$$

$$A_{CP}(D_s^+ \rightarrow K_s^0 \pi^+) = (+0.61 \pm 0.83 \pm 0.13)\%$$

errors $\sim 1\%$
1.6M events

LHCb-PAPER-2012-052



- LHCb measurements are the most precise of CP violation in ϕ region to date for both $D^+ \rightarrow \phi \pi^+$ and $D_s^+ \rightarrow K_s^0 \pi^+$

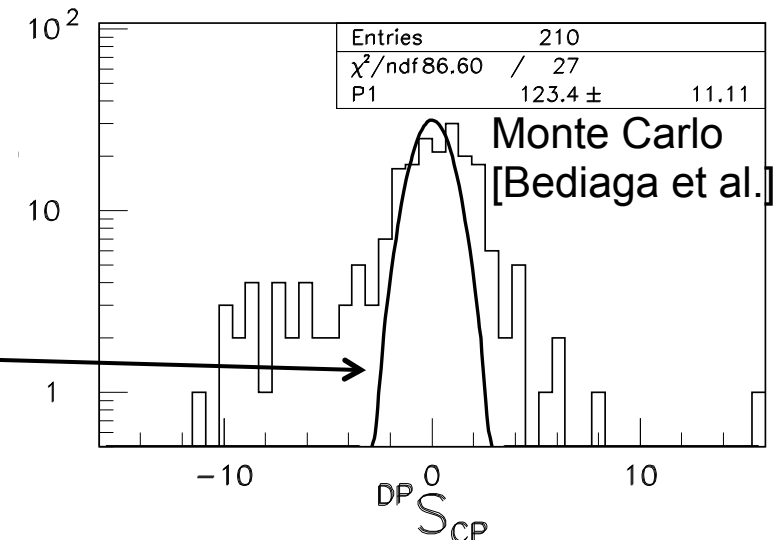
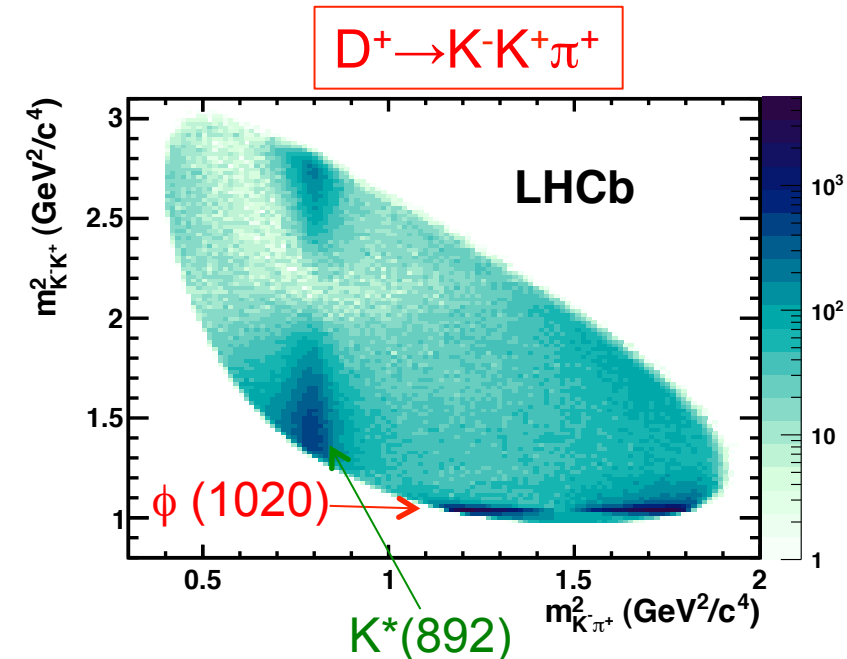
We also looking for CP asymmetry in multi-body decays: in $D^\pm \rightarrow hhh$, $D^0 \rightarrow hhhh$

- Partition the Dalitz plot into bins
- For each bin measure **local charge asymmetry**

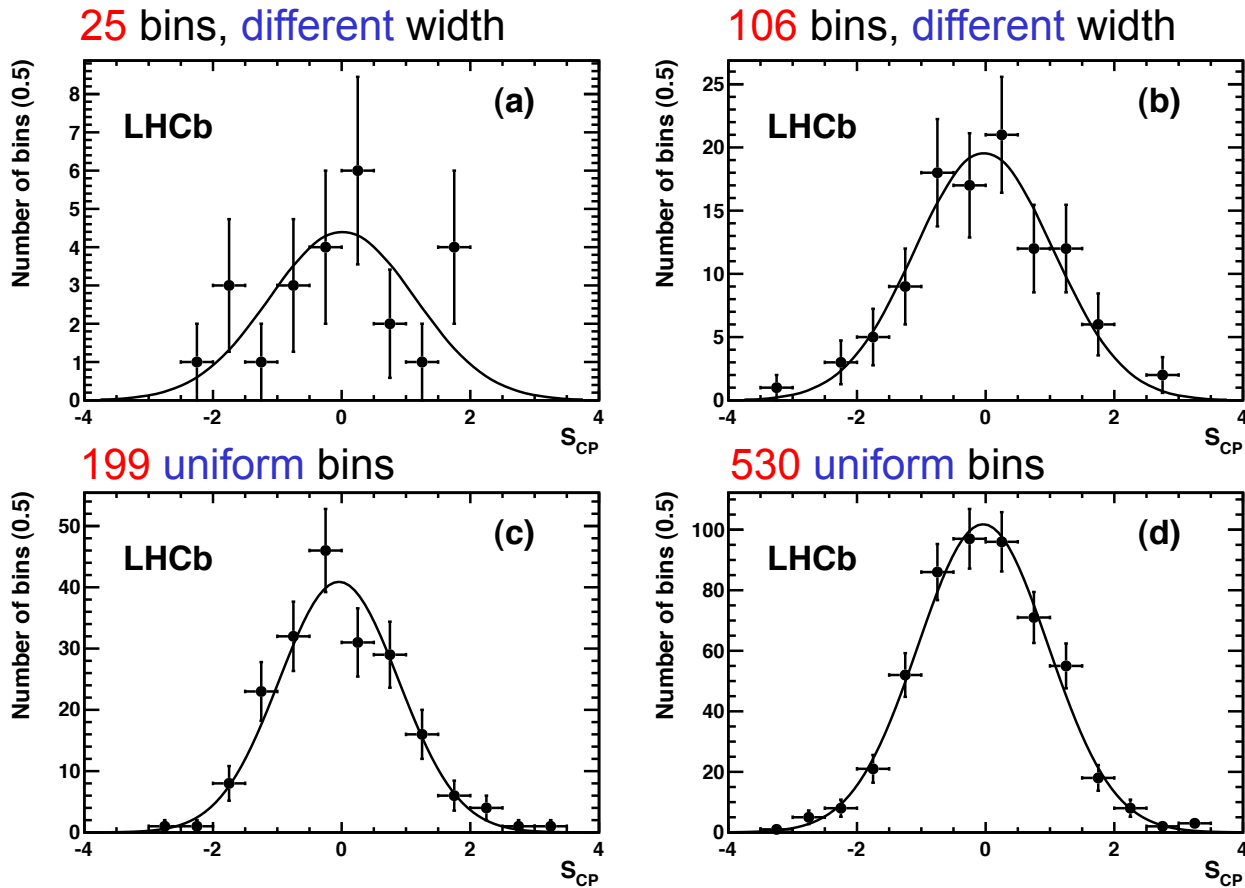
$$S_{CP}^i \equiv \frac{N^i(D^+) - \alpha N^i(D^-)}{\sqrt{N^i(D^+) + \alpha^2 N^i(D^-)}} \quad \alpha = \frac{N(D^+)}{N(D^-)}$$

[Bediaga et al. Phys.Rev.D80(2009)096006]

- Normalization cancels most global asymmetries (example production asymmetry)
- S_{CP} is a significance of a difference between D^+ and D^-
- Two equivalent methods:
 - ✧ If no CPV (only statistical fluctuations) then S_{CP} is Gauss distribution ($\mu=0$, $\sigma=1$)
 - ✧ Also χ^2 test can be used: $\chi^2 = \sum S_{CP}^i{}^2$ → p-value



Results for $D^+ \rightarrow K^- K^+ \pi^+$



- ✧ Several binnings in the Dalitz plot used to probe a range of CPV scenarios
- ✧ Binning shown consistent with no CPV at $p=10\%$
- ✧ Also S_{CP} distributions consistent with standard Gauss distribution ($\mu \sim 0, \sigma \sim 1$)
- ✧ No evidence for CP violation in the 2010 data set of 36/pb, 370k signal (SCS) $D^+ \rightarrow K^- K^+ \pi^+$

Phys.Rev.D84.112008

	μ	σ	χ^2/ndf	P-value
(a)	0.01 ± 0.23	1.13 ± 0.16	32.0/24	12.7%
(b)	-0.024 ± 0.010	1.078 ± 0.074	123.4/105	10.6%
(c)	-0.043 ± 0.073	0.929 ± 0.051	191.3/198	82.1%
(d)	-0.039 ± 0.045	1.011 ± 0.34	519.5/529	60.5%

More data is on tape:
 for each 1/fb SCS signal decays:
 ~10 million of $D^+ \rightarrow K^- K^+ \pi^+$
 ~3 million of $D^+ \rightarrow \pi^- \pi^+ \pi^+$

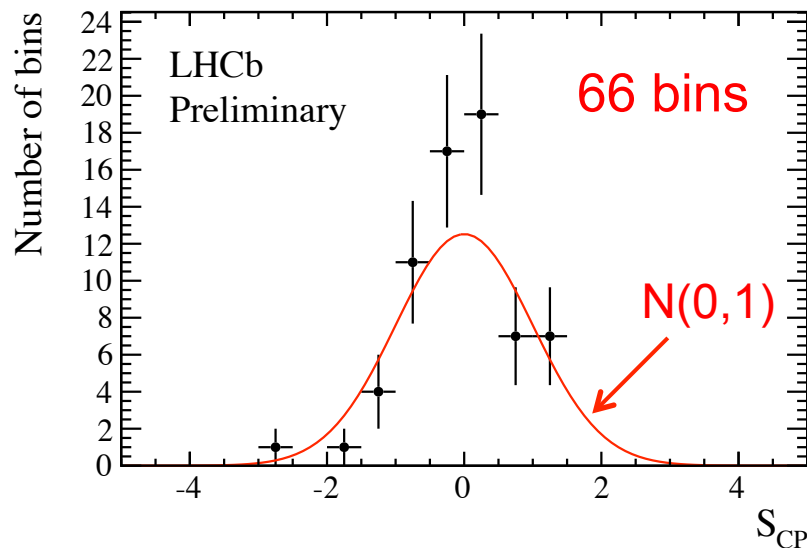
Results for $D^0 \rightarrow \pi^- \pi^+ \pi^+ \pi^-$

While three-body decay kinematics can be described completely in 2D Dalitz plot, a four-body decay has 5D phase space to fully describe the decay

Here we divide 5D phase space into bins and in each i^{th} bin we calculate S_{CP}

$$S_{CP}^i \equiv \frac{N^i(D^0) - \alpha N^i(\bar{D}^0)}{\sqrt{N^i(D^0) + \alpha^2 N^i(\bar{D}^0)}} \quad \alpha = \frac{N(D^0)}{N(\bar{D}^0)}$$

LHCb 2011 data, $L=1/\text{fb}$,
180k events, 96% purity



Bins	p-values (%)
15	97.1
29	95.6
66	99.8

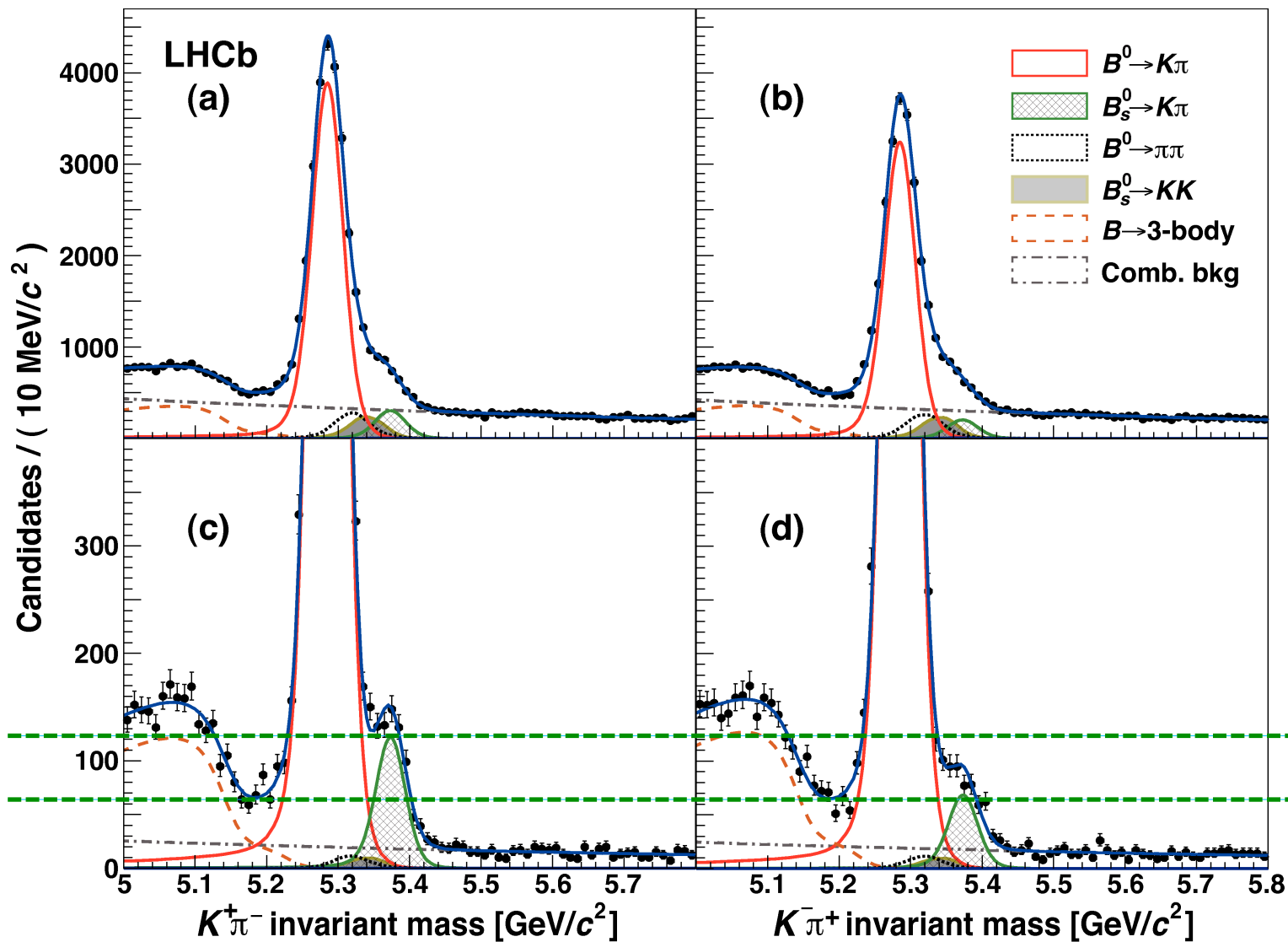
LHCb-CONF-2012-019

Using three different versions of binning, the results are consistent with the hypothesis of no CPV with a p-values close to 100%

Summary

- LHCb experiment has an important charm physics program and has **the world's largest sample of c-hadron decays**
- Using data collected in **2011 (1/fb)**, LHCb experiment has performed extensive studies of physics in the charm sector
- **For the first time LHCb experiment has observed charm mixing in a single measurement (effect 9.1σ)**
- Measured ΔA_{CP} between $D^0 \rightarrow K^-K^+$ and $D^0 \rightarrow \pi^-\pi^+$ from D^* and B decays (two results statistically independent)
 - ✧ the central value is considerably **closer to zero**
 - ✧ result does **not confirm the evidence for direct CPV** in the charm sector
- **No CPV observed in $D^+ \rightarrow \phi\pi^+$, $D_s^+ \rightarrow K_s^0\pi^+$, $D^+ \rightarrow K^-K^+\pi^+$, $D^0 \rightarrow \pi^-\pi^+\pi^+\pi^-$**
- All measurements being improved with larger datasets:
 - ✧ **2011+2012: $> 3/\text{fb}$**
- **The LHCb experiment is more than beauty**

First observation of CP violation in the decays of B^0_s



24 April 2013

arXiv:1304.6173

Submitted to Phys.Rev.Lett

$B^0_s \rightarrow K^- \pi^+$

2011 data, L=1/fb

$$A_{CP}(B^0_s \rightarrow K^- \pi^+) = 0.27 \pm 0.04(stat) \pm 0.01(syst)$$

$$A_{CP}(B^0 \rightarrow K^+ \pi^-) = -0.080 \pm 0.007(stat) \pm 0.003(syst)$$

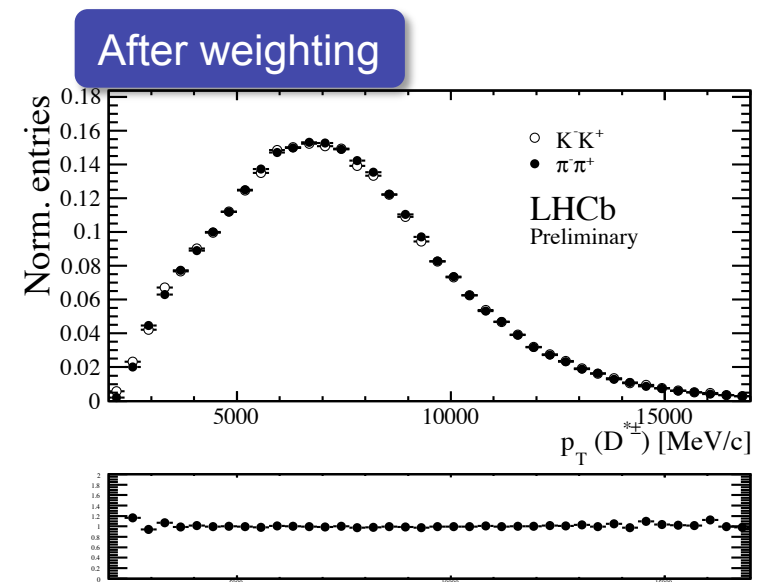
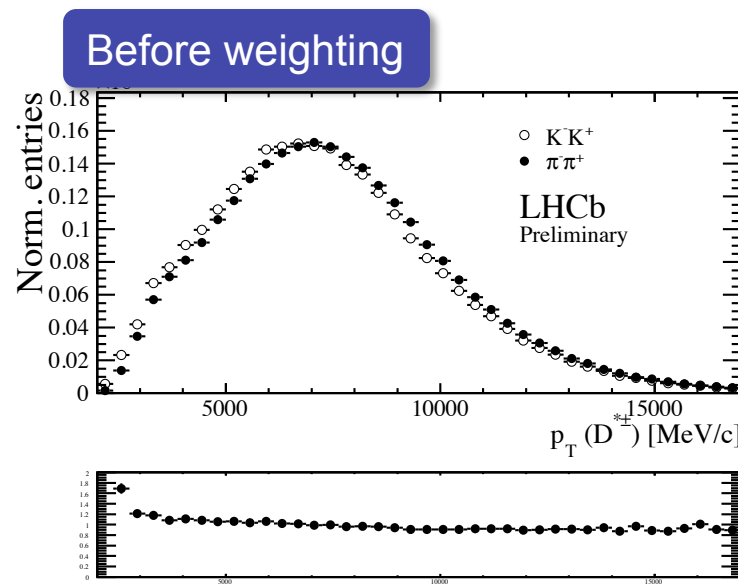
Backup



ΔA_{CP} from D^* decay

- The D^{*+} kinematic distributions are independent of the D^0 decay mode, but the selection requirements can lead to the different distributions of the K^-K^+ and $\pi^-\pi^+$ final states
- It can lead to a non-canceling second-order bias in ΔA_{CP}
- To avoid this, we apply weighting in D^* kinematic distributions of p_T , p , ϕ to ensure that $D^0 \rightarrow K^-K^+$ and $D^0 \rightarrow \pi^-\pi^+$ have the same kinematics
 - ✧ each $D^0 \rightarrow K^-K^+$ event gets a weight to match $D^0 \rightarrow \pi^-\pi^+$ kinematic distribution

Example
 $P_T(D^*)$



1st measurement of ΔA_{CP} from D^* decay

Analysis technique: split dataset into 4 subsets:

- **Hardware trigger (L0) category:**
 - ✧ D^0 triggered by hadronic calorimeter (Trigger On Signal)
 - ✧ event triggered on other particles from pp collision – by something else than the D^* (Trigger Independent of Signal)
- **Field polarity:**
 - ✧ Magnet up (40%)
 - ✧ Magnet down (60%)

(stat.only)

ΔA_{CP}	Up	TOS	$-0.62 \pm 0.36 \%$
ΔA_{CP}	Down	TOS	$-0.36 \pm 0.30 \%$
ΔA_{CP}	Up	TIS	$-0.30 \pm 0.30 \%$
ΔA_{CP}	Down	TIS	$-0.22 \pm 0.25 \%$

- **Weighted average of four subsets (2011, 1/fb) – Preliminary results:**

$$\Delta A_{CP} = [-0.34 \pm 0.15^{stat} \pm 0.10^{syst}] \% \quad \text{LHCb-CONF-2013-003}$$

- **Difference in decay time acceptance:**

$$\Delta \langle t \rangle / \tau = [11.19 \pm 0.15^{stat} \pm 0.17^{syst}] \%$$

$$\Delta A_{CP} = [a_{CP}^{dir}(K^- K^+) - a_{CP}^{dir}(\pi^- \pi^+)] + \frac{\Delta \langle t \rangle}{\tau} a_{CP}^{ind}$$

Contribution from indirect CPV is $\sim 10\%$

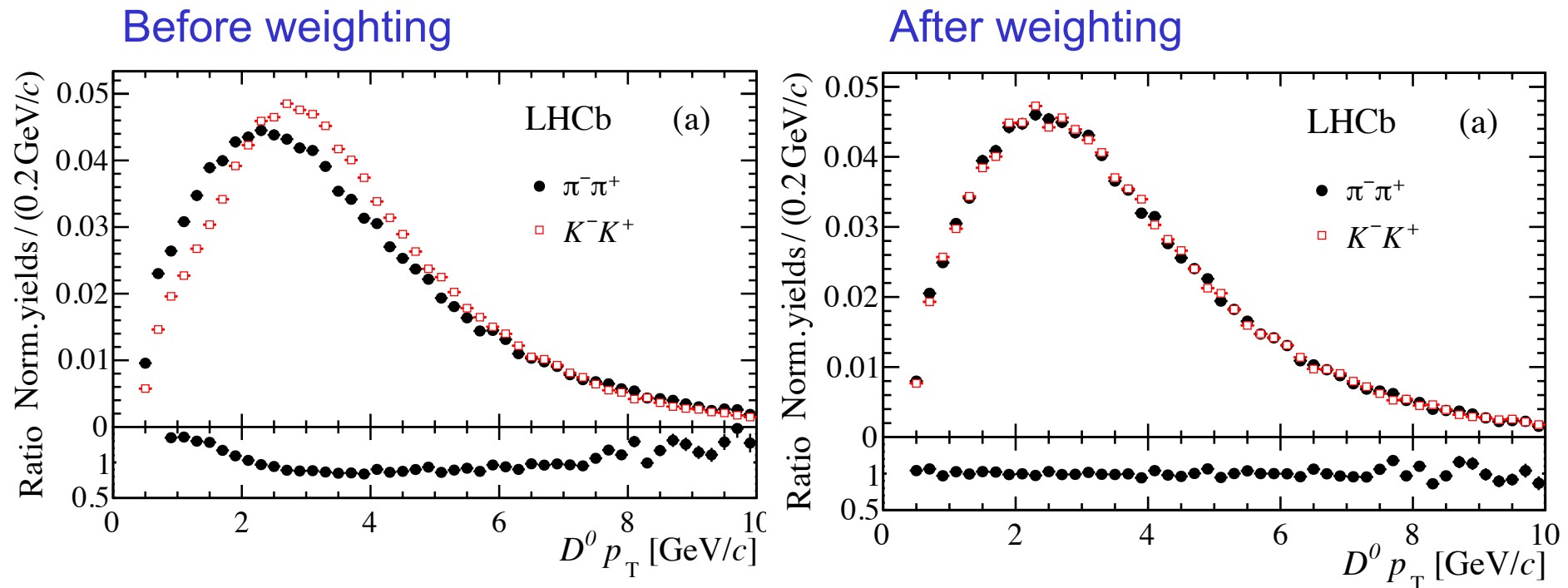
2nd measurement of ΔA_{CP} from semileptonic B decays

Different kinematic distributions for both decays of the K^-K^+ and $\pi^-\pi^+$ can lead to a non-canceling second-order bias in ΔA_{CP}

To obtain the same kinematic distributions for both decays we apply **weighting** in D^0 candidates on their p_T and η :

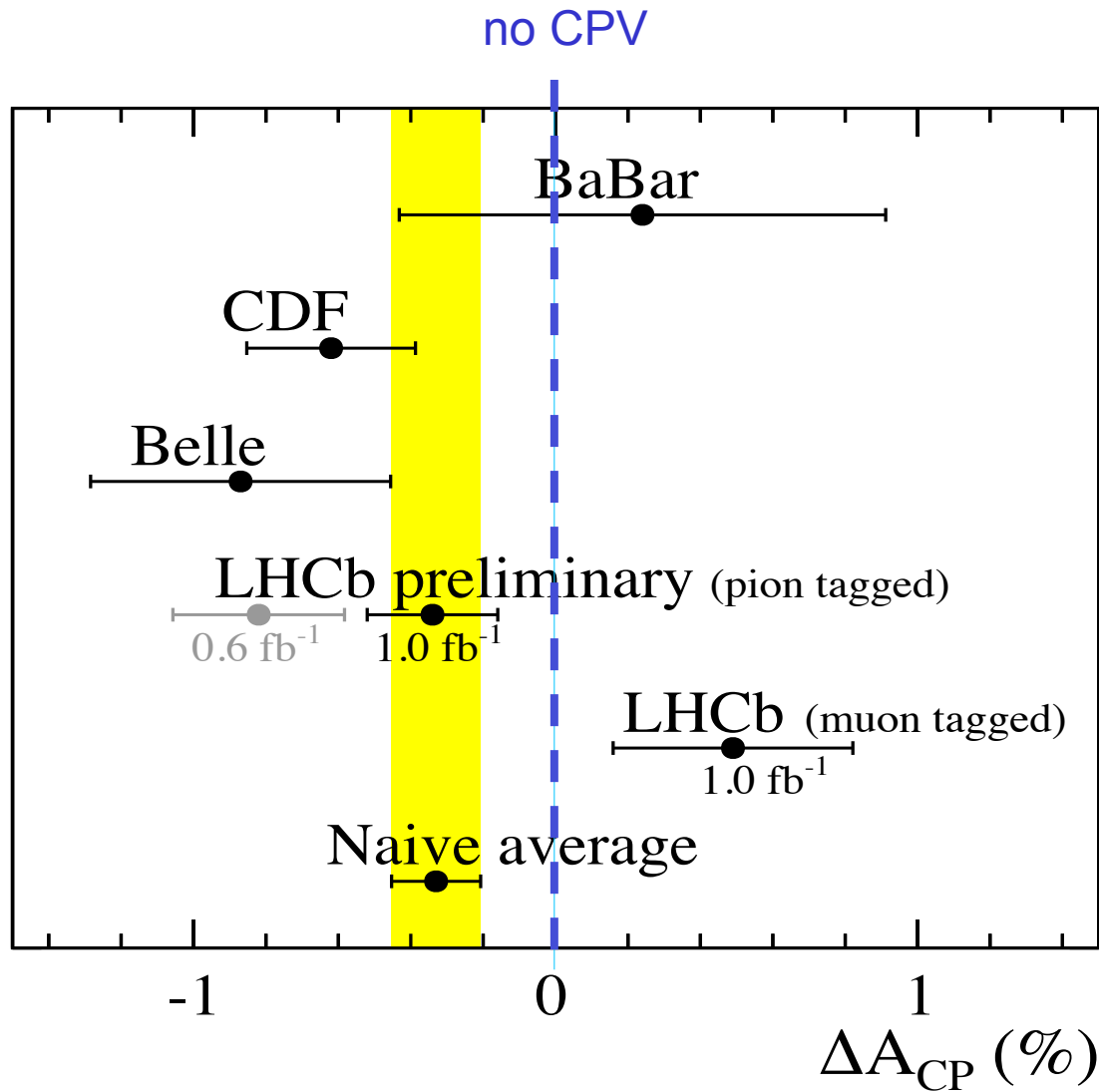
- weights are applied to either $D^0 \rightarrow K^-K^+$ and $D^0 \rightarrow \pi^-\pi^+$ candidates depending on which has most events in a given kinematic bin

Example
 $P_T(D^0)$



ΔA_{CP} Preliminary new world average

New average includes BaBar, CDF, Belle and new LHCb results



Naive average neglecting indirect CPV

$$\Delta A_{CP} = (-0.33 \pm 0.12)\%$$

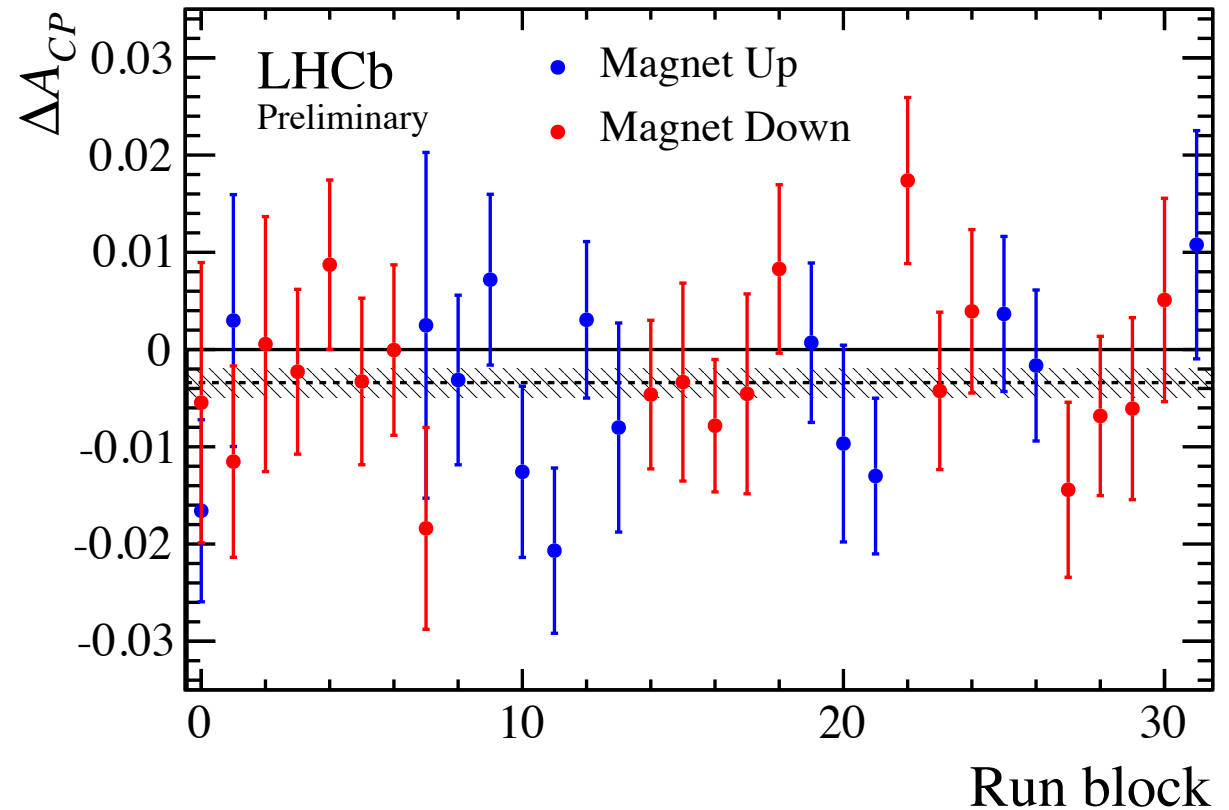
Now:

- the central value is considerably closer to zero
- result does not confirm the evidence for direct CPV in the charm sector

Many cross-checks performed for both methods:

- time at which data was taken
- stable versus kinematic variables: decay time, p_T , p , η , ϕ etc.
- independent cross-checks of final result by different people
- many more...
- no significant dependence is observed

Example: pion-tagged analysis



No dependence versus data taking period

Comments:

- The **central value is considerably closer to zero** than the previous result
- **New result does not confirm the evidence for direct CPV in charm sector**
- Several factors can contribute to the change
 - ✧ larger data sample
 - ✧ improved detector alignment and calibration
 - ✧ difference in analysis technique

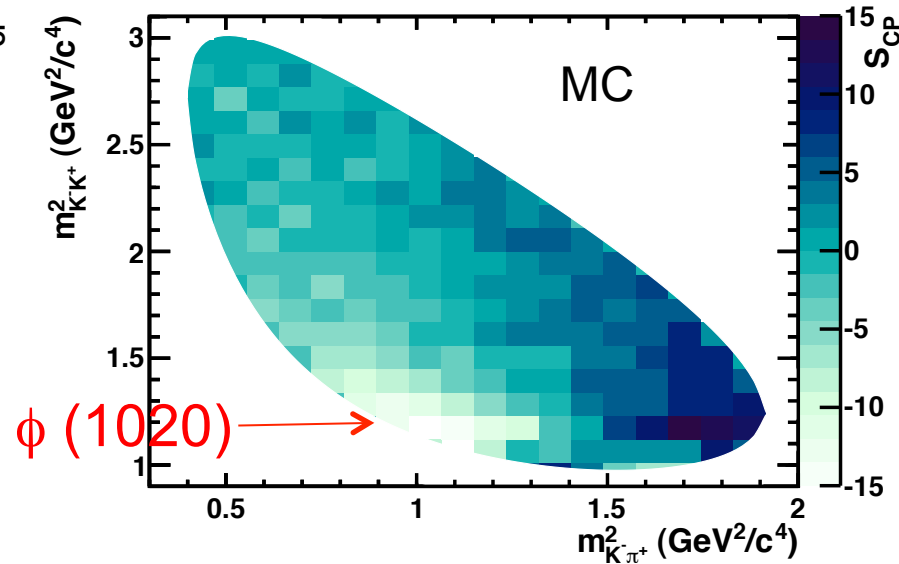
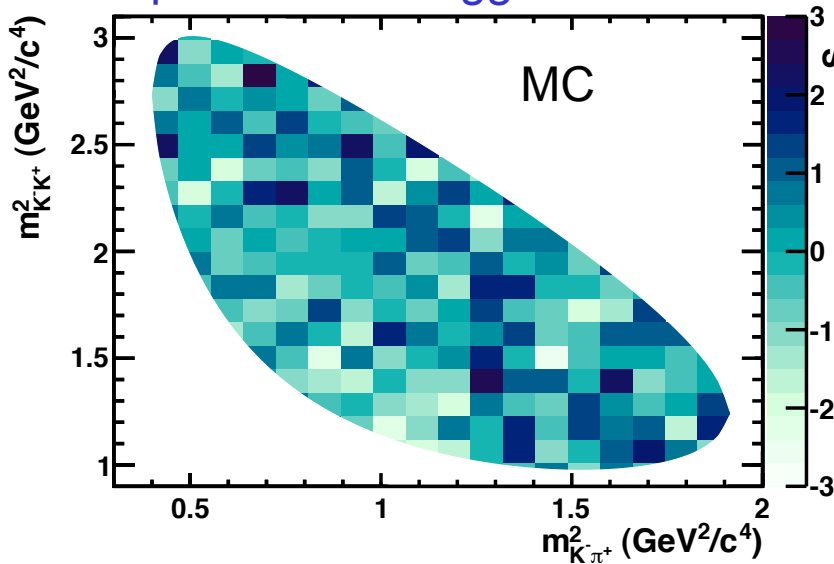
Tests of the method

- Check the response of the method on Monte Carlo (Dalitz models from CLEO-c, arXiv:0807.4545):
 - should not generate signal where it is not expected
 - should give a visible signal where it is expected

$D^+ \rightarrow K^- K^+ \pi^+$

Sample 50 times bigger than 2010

5×10^7 events with 4° weak phase difference between amplitudes for resonance of $\phi(1020)$ from $D^+ \rightarrow \phi \pi^+$ a $D^- \rightarrow \phi \pi^-$



The same bins
Different scale
of S_{CP}



If no CPV then no signal (good)
P-value $\sim 5\%$
→ no CP asymmetry

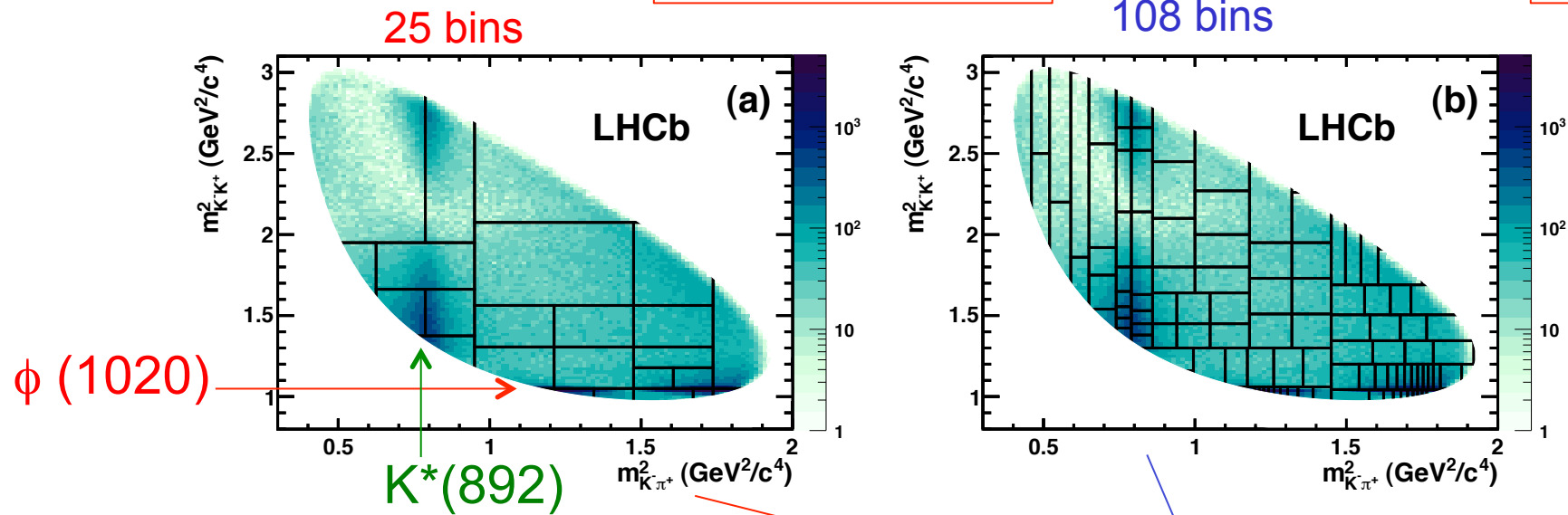
If CPV then P-value $\sim 10^{-100}$
– there is CP asymmetry
– visible sign change of S_{CP} in ϕ region

Number of bins test

Bins with different widths

Signal $D^+ \rightarrow K^- K^+ \pi^+$

Monte Carlo

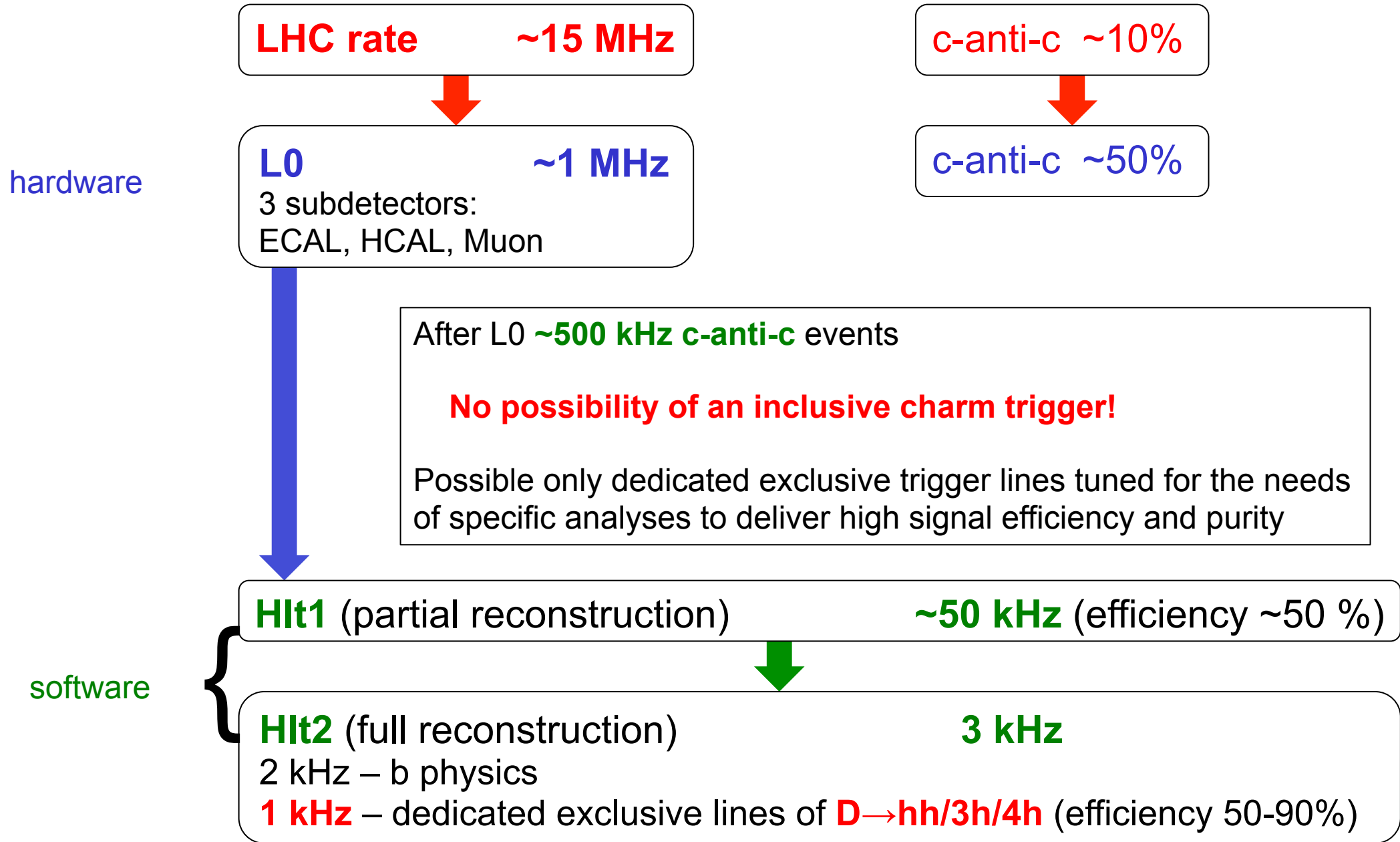


	P(3σ)	P(3σ)
No CPV	0%	1%
6 $^\circ$ weak phase difference in $\phi(1020)$	99%	98%
4 $^\circ$ weak phase difference in $\phi(1020)$	76%	41%

Version with 25 bins is better

100 the same experiments and check how many times obtained 3 σ

The trigger and charm physics



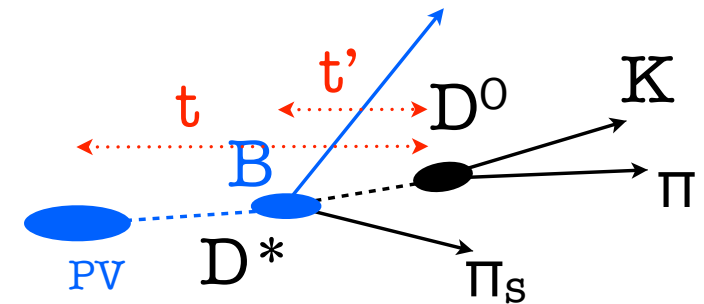
example: 5k $D^{*\pm} \rightarrow (D^0 \rightarrow K^\pm K^\mp) \pi^\pm$ for 1 pb^{-1} (2010: 38 pb^{-1} , 2011: 1.1 fb^{-1})

Systematics D^0 – anti- D^0 mixing

- Most of the systematic uncertainties cancel in the ratio between WS and RS events
- Two main sources of systematic uncertainties have been identified:

(1) secondary D mesons

- ✧ D from B have wrong decay time
- ✧ such events have non-zero IP
- ✧ cut on $\chi^2(\text{IP})$ removes most of them
- ✧ remains $\sim 3\%$



(2) backgrounds from incorrectly reconstructed D decays – peak in $M(D^0\pi_s^+)$ (the D^0 is partially reconstructed or misidentified)

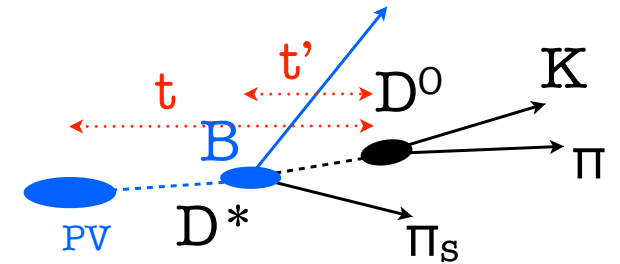
- ✧ such backgrounds are highly suppressed by tight PID cuts and two-body mass requirements
- ✧ estimated a residual $(0.4 \pm 0.2)\%$ contamination of doubly mis-identified RS events in the WS sample

- Results are dominated by statistical uncertainties

Bias from secondary D decays

$$R(t) = \frac{N_{WS}(t)}{N_{RS}(t)} = R_D + \sqrt{R_D} y' t + \frac{x'^2 + y'^2}{4} t^2$$

The contamination of charm mesons produced in b-hadron decays could bias the time-dependent measurement



$$R^m(t) = \frac{N^{WS}(t) + N_B^{WS}(t)}{N^{RS}(t) + N_B^{RS}(t)} = R(t) \left\{ 1 - \underbrace{f_B^{RS}(t) \left[1 - \frac{R_B(t)}{R(t)} \right]}_{\Delta_B(t)} \right\}$$

$\Delta_B(t)$ is a time-dependent bias due to the secondary contamination

where: $f_B^{RS}(t) = \frac{N_B^{RS}(t)}{N^{RS}(t) + N_B^{RS}(t)}$, $R_B(t) = \frac{N_B^{WS}(t)}{N_B^{RS}(t)}$

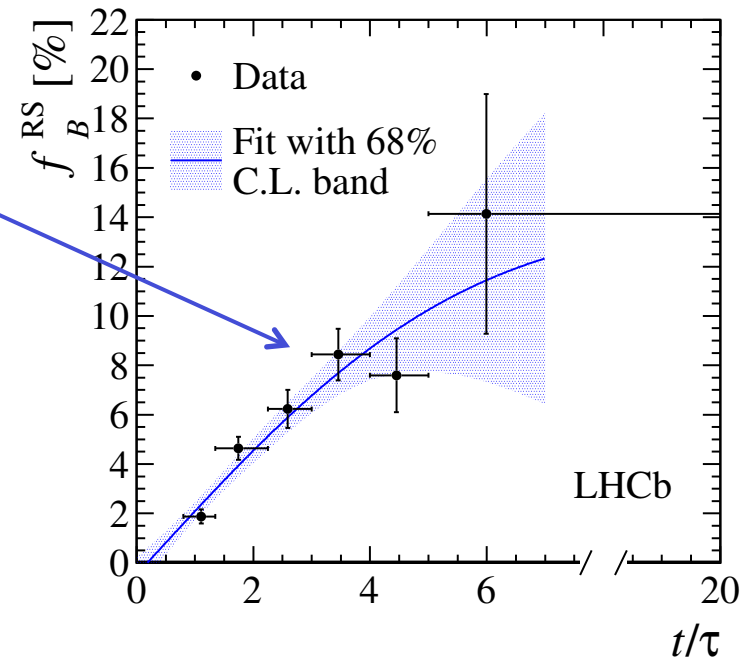
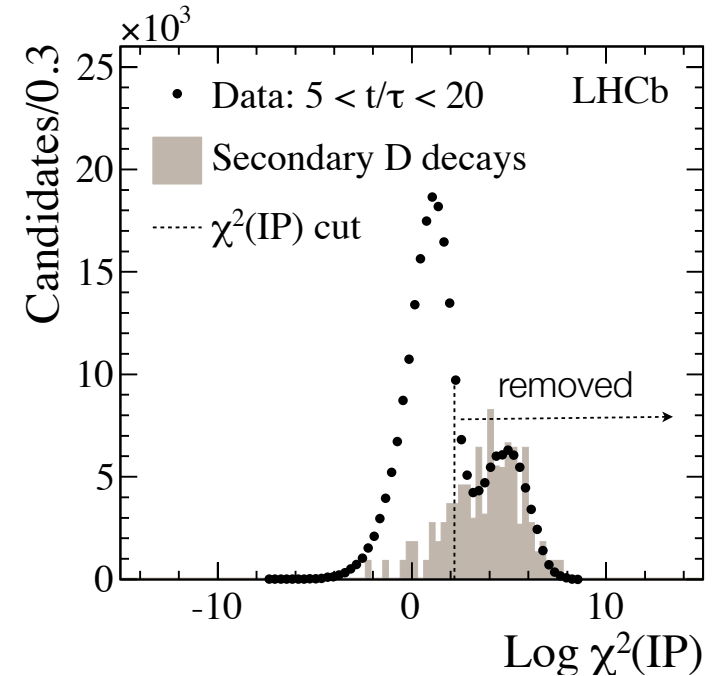
The fraction of secondary decays in the RS sample at decay time t

Since $\Delta_B \geq 0$, it follows that the background from secondary D decays decreases the observable mixing effect. The bias is bounded by

$$0 \leq \Delta_B(t) \leq f_B^{RS}(t) \left[1 - \frac{R_D}{R(t)} \right]$$

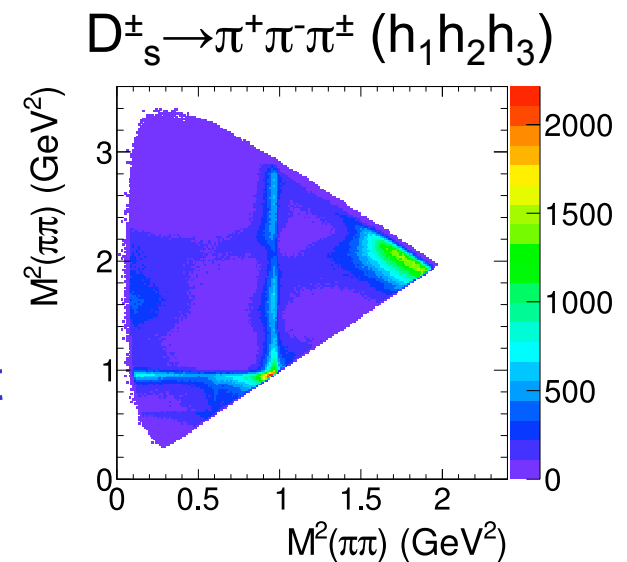
Measuring $f_B^{RS}(t)$

- A measurement of the secondary fraction is done by fitting the $\chi^2(\text{IP})$ distribution of the RS D^0 candidates in bins of decay time
- Secondary shape is estimated from events reconstructed also as $B \rightarrow D^*(3)\pi$, $B \rightarrow D^*\mu X$ or $B \rightarrow D^0\mu X$
- The value of $f_B^{RS}(t)$ is constrained in the time-dependent fit to the measured fraction



The unbinned method

- No evidence for CP violation using the binned S_{CP} method
- The goal is to find the most sensitive method which allows us to see the differences between D^+ and D^-
- The unbinned methods could be more sensitive than the binned ones but they are more difficult in using
- There are a few unbinned method
- To analyse LHCb data Warsaw Group uses **k-nearest neighbor (kNN) method**:
(M.F.Schilling J.Am.Stat.Assoc.81(1986)799)
 - ✧ used to compare the Dalitz plots for D^+ and D^- to test whether they have similar distributions or not
 - ✧ based on the concept of **counting the tag nearest neighbors (n_k)**:
 1. in a pooled sample of particles and antiparticles we calculate distances between all event pairs
 2. we find the k-nearest neighbor events to each point
 3. we calculate a **test statistic**

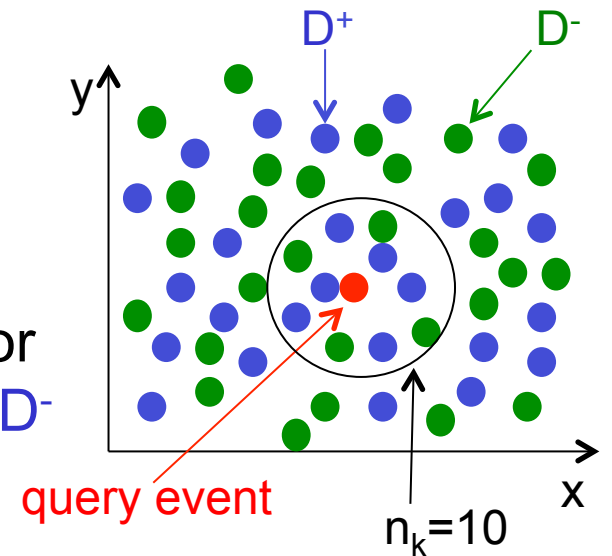


The test statistic

To test the hypothesis $f_a = f_b$ for the pooled sample of D^+ and D^- we calculate:

$$T = \frac{1}{n_k(n_a+n_b)} \sum_{i=1}^{n_a+n_b} \sum_{k=1}^{n_k} I(i, k)$$

- ✧ $I(i, k) = 1$ if the i^{th} query event and its k^{th} nearest neighbor belong to the same sample, like pairs: $D^+—D^+$ and $D^-—D^-$
- ✧ $I(i, k) = 0$ otherwise, unlike pairs: $D^+—D^-$



T is the mean fraction of like pairs in the pooled sample of the two data sets

Advantage:

- the expected distribution of the test statistic is known
- for the case $f_a = f_b$ the pull $(T-\mu_T)/\sigma_T$ has a limiting standard normal distribution

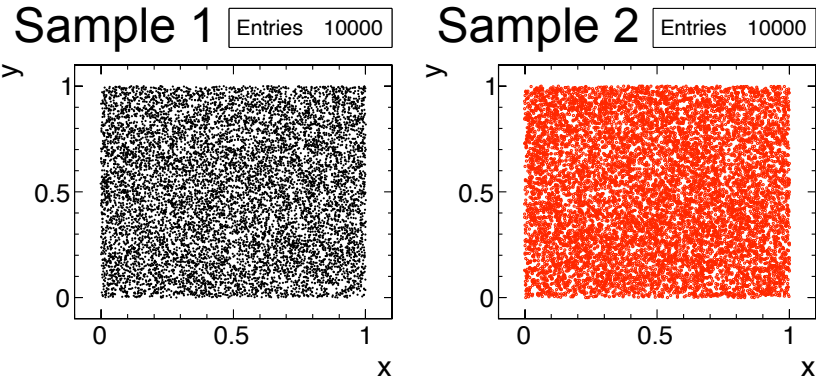
Mean:
$$\mu_T = \frac{n_a(n_a-1) + n_b(n_b-1)}{n(n-1)}$$

Variance:
$$\lim_{n, n_k, D \rightarrow \infty} \sigma_T^2 = \frac{1}{nn_k} \left(\frac{n_a n_b}{n^2} + 4 \frac{n_a^2 n_b^2}{n^4} \right)$$

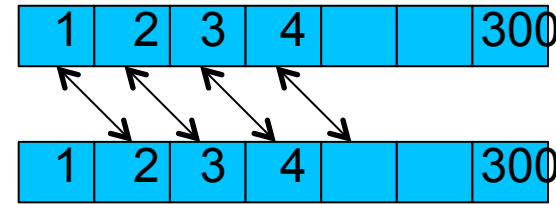
with the fast convergence even for $D = 2$

Expectation of test statistic for $n_a = n_b$ and $f_a = f_b$

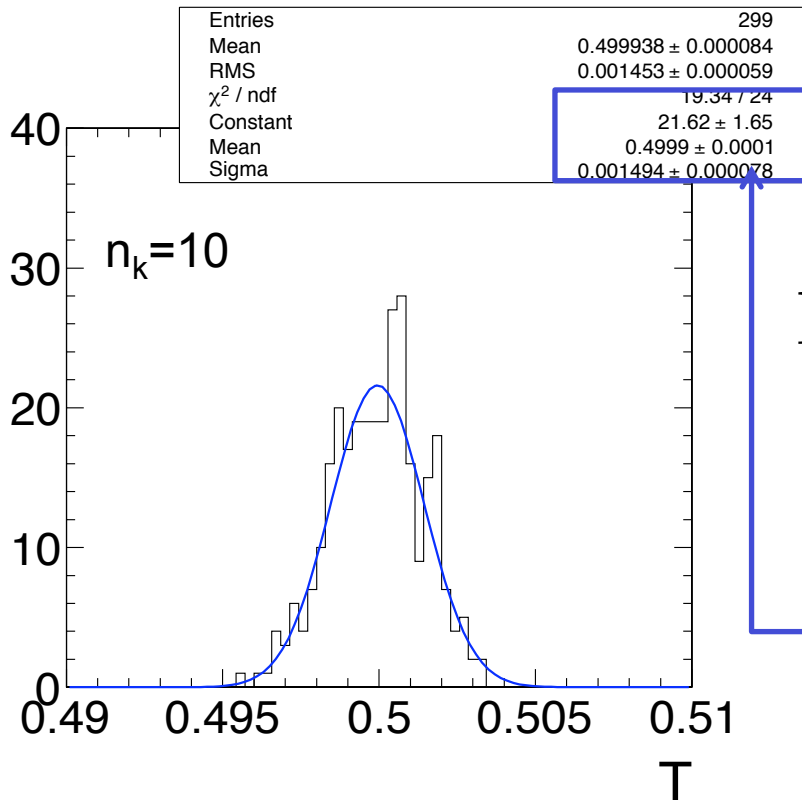
300 uniform samples in two dimensions (x,y) from [0,1] are generated.
10k events in each sample.



Different samples are compared.
299 combinations



$$T = \frac{1}{n_k(n_a + n_b)} \sum_{i=1}^{n_a + n_b} \sum_{k=1}^{n_k} I(i, k)$$



Expectation of μ_T and σ_T :

$$\mu_T = \frac{n_a(n_a - 1) + n_b(n_b - 1)}{n(n - 1)} = 0.49999 \quad (\text{if } n_a = n_b)$$

$$\lim_{n, n_k, D \rightarrow \infty} \sigma_T^2 = \frac{1}{nn_k} \left(\frac{n_a n_b}{n^2} + 4 \frac{n_a^2 n_b^2}{n^4} \right)$$

for $n_k = 10$ expect $\sigma_T = 0.001581$

From the fit to the T distribution:

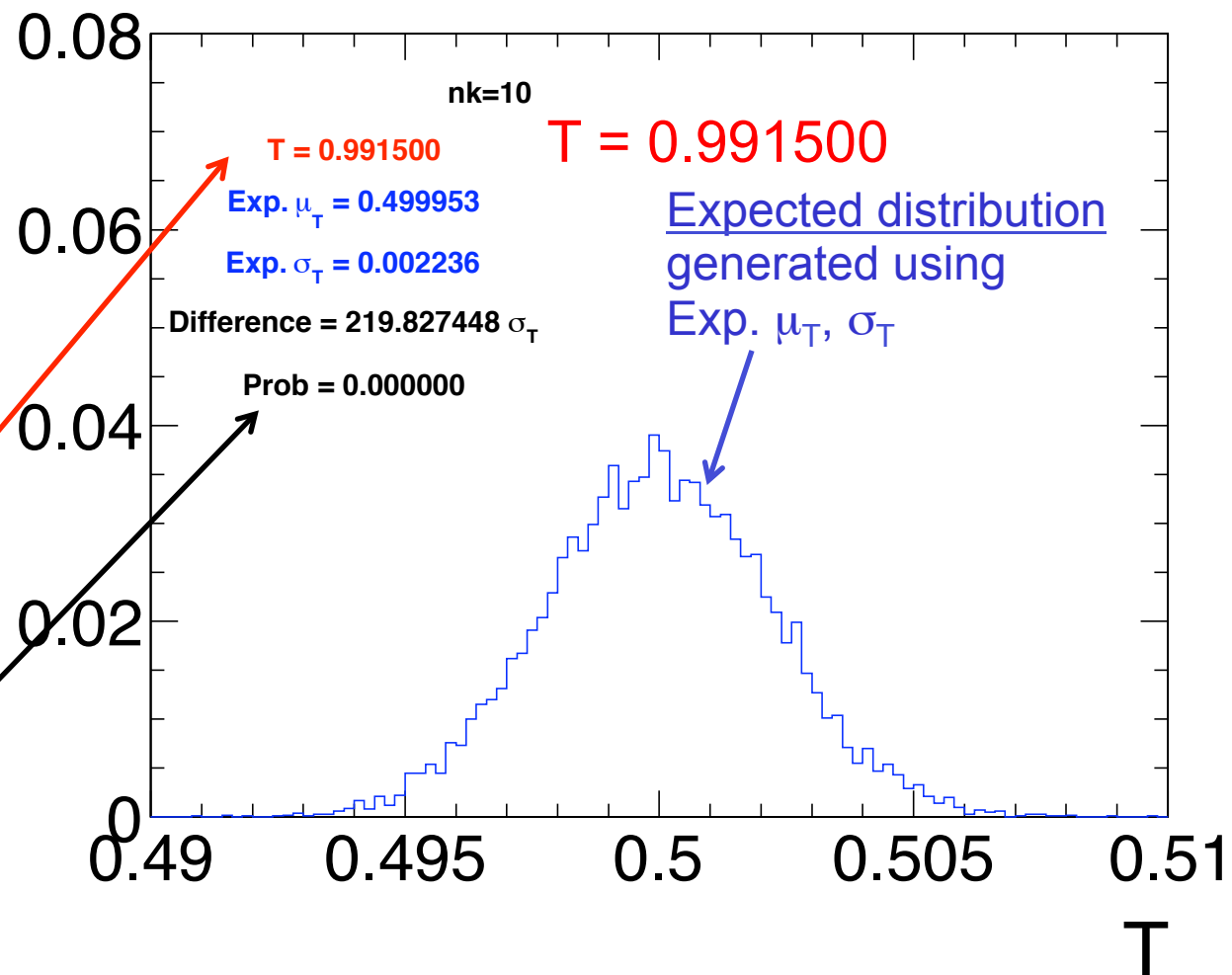
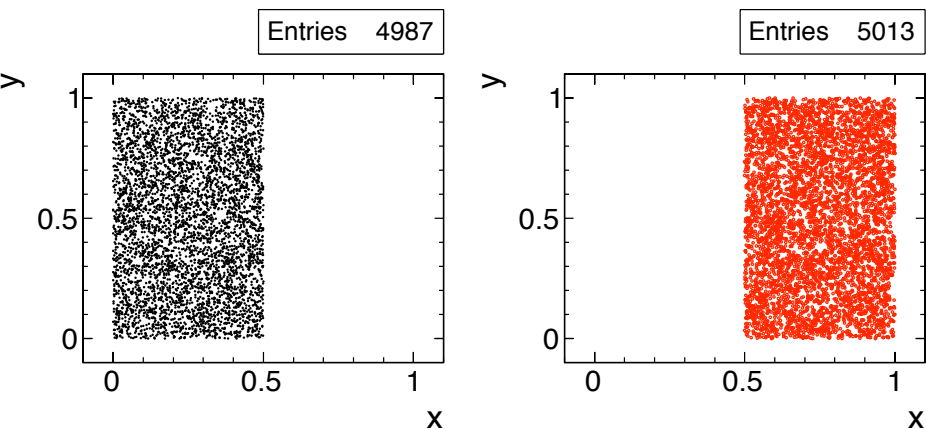
$\langle T \rangle = 0.4999 \pm 0.0001$ agrees with expected μ_T

$\sigma_{T, \text{fit}} = 0.001494 \pm 0.000078$ agrees with σ_T



Expectation of test statistic for $n_a = n_b$ and $f_a \neq f_b$

Two separated samples with comparable number of events are generated



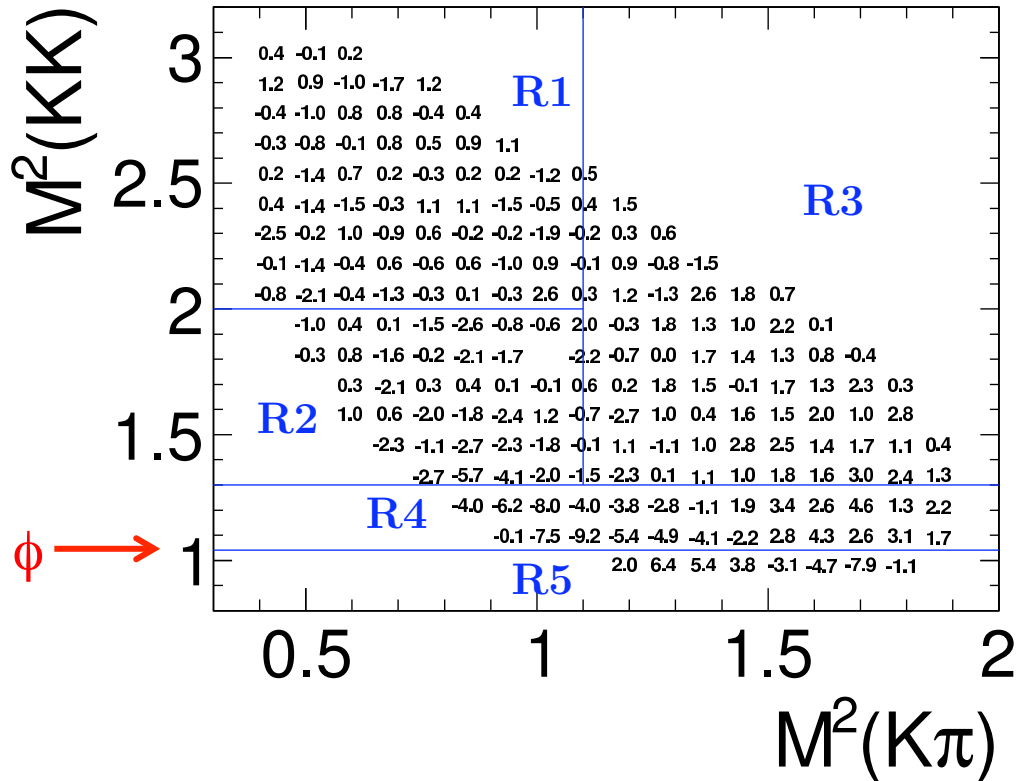
If there are differences between samples then $1 \geq T > 1/2$ (here $T \rightarrow 1$)

Probability = 0% that both samples have the same parent distribution

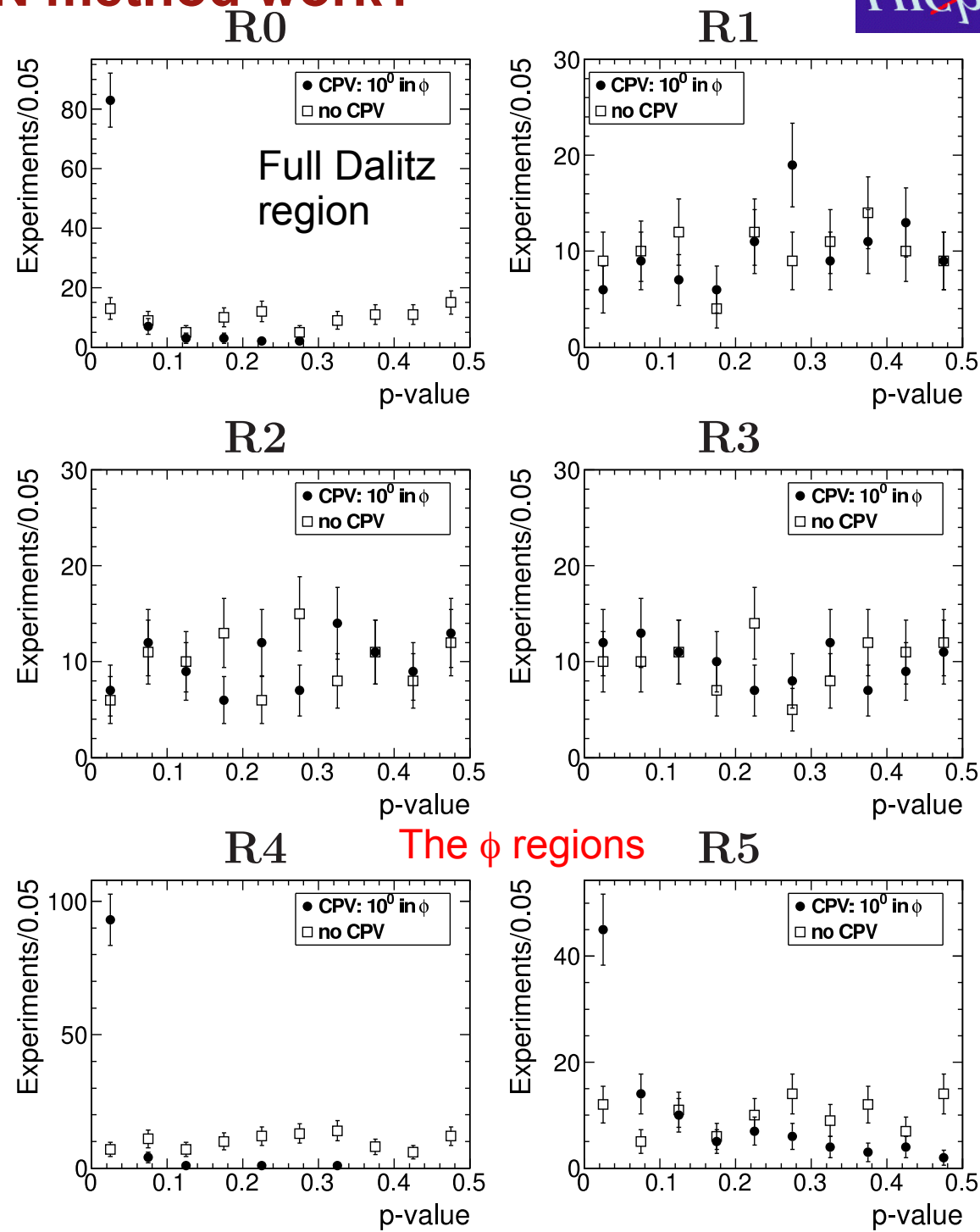
How does the KNN method work?

Monte Carlo (CLEO-c model)
 signal decay (SCS) $D^+ \rightarrow K^- K^+ \pi^+$
 100 pseudo experiments
 2 million events each: with no CPV
 and CPV – 10^0 in ϕ , $n_k = 20$

$$S_{CP}^i \equiv \frac{N^i(D^+) - N^i(D^-)}{\sqrt{N^i(D^+) + N^i(D^-)}}$$



Clear evidence of disagreement is seen for MC CPV sample



How does the KNN method work?

Monte Carlo, signal decay (SCS) $D^+ \rightarrow K^- K^+ \pi^+$

100 pseudo experiments, 2 million events each, $n_k = 20$

No CPV

Region	$\geq 1\sigma(\%)$	$\geq 2\sigma(\%)$	$\geq 3\sigma(\%)$	$\geq 4\sigma(\%)$	$\geq 5\sigma(\%)$
R0	27	7	0	0	0
R1	31	3	0	0	0
R2	28	2	0	0	0
R3	32	5	0	0	0
R4	26	2	0	0	0
R5	31	3	0	0	0

The fraction of data sets that exceed 1,2,3,4,5 σ levels of significance

CPV – 10^0 in ϕ (regions R4 and R5)

Region	$\geq 1\sigma(\%)$	$\geq 2\sigma(\%)$	$\geq 3\sigma(\%)$	$\geq 4\sigma(\%)$	$\geq 5\sigma(\%)$
R0	93	69	33	9	1
R1	24	3	0	0	0
R2	28	3	0	0	0
R3	39	7	0	0	0
R4	98	87	55	19	1
R5	70	31	8	0	0

Clear evidence of disagreement is seen for MC CPV sample

Summary

- The kNN method was used to analyse LHCb data for searching local differences between D^+ and D^-
- First results for $D^+ \rightarrow \pi^- \pi^+ \pi^+$ (here CP asymmetry is expected) were discussed within LHCb Group and analysis is under review (blinded)
- We plan to use the kNN method for searching for CP asymmetry in different decays of:
 - ✧ charm particles,
 - ✧ beauty particles (here CP violation is larger)

$$V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} = \begin{pmatrix} 1 & \lambda & \lambda^3 \\ -\lambda & 1 & \lambda^2 \\ -\lambda^3 & -\lambda^2 & 1 \end{pmatrix}$$